

# A MITOCHONDRIAL ETIOLOGY OF COMPLEX DISEASES

Why can't we understand and cure the common diseases?

**Neuropsychiatric Disorders:** Autism, ADHD, Schizophrenia, Bipolar Disease, Stress Response, Alzheimer Disease, Parkinson Disease, ALS, Multiple Sclerosis, Blindness, Deafness...

**Heart-Muscle:** Cardiomyopathy, Myopathy, Chronic Fatigue...

**Visceral:** Renal, Hepatic, Immunological...

**Metabolic:** Diabetes, Obesity, Cardiovascular Disease...

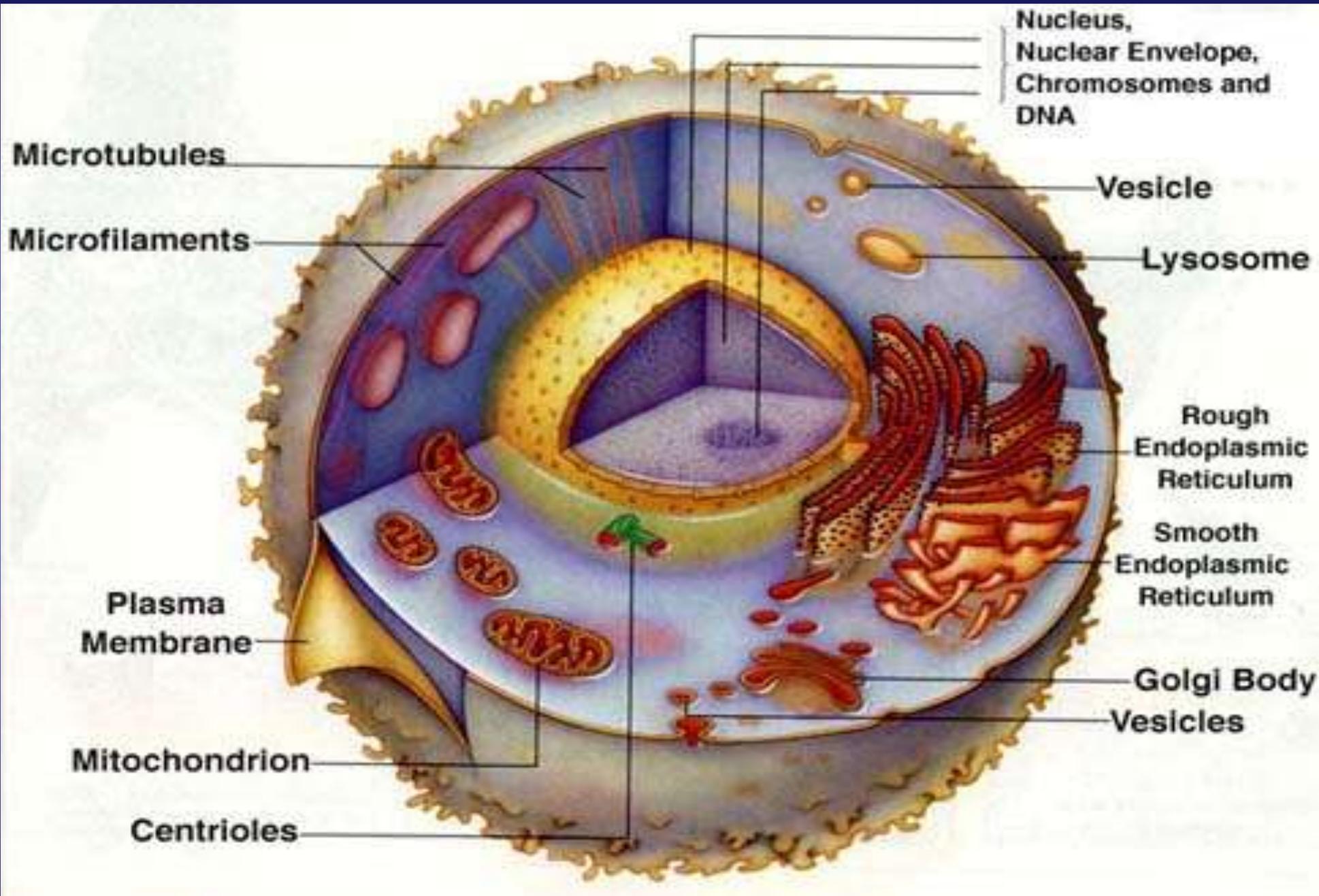
**Cancer & Aging**

Western medicine has approached the common diseases primarily from an anatomical and Mendelian perspective, but

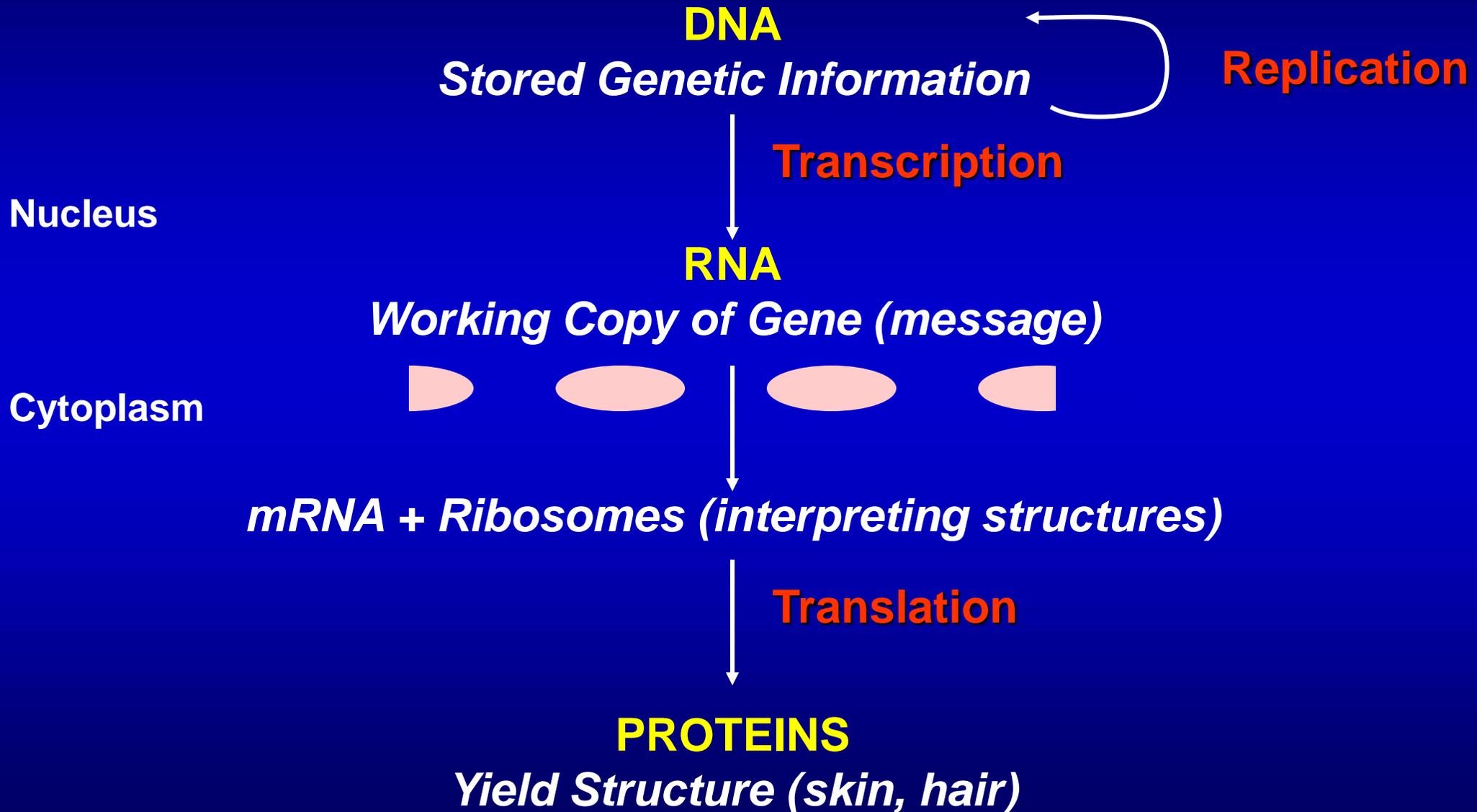
**Life = Anatomy + Energetics + Information**

Consequently, the role of bioenergetics and non-Mendelian bioenergetic inheritance has been largely ignored.

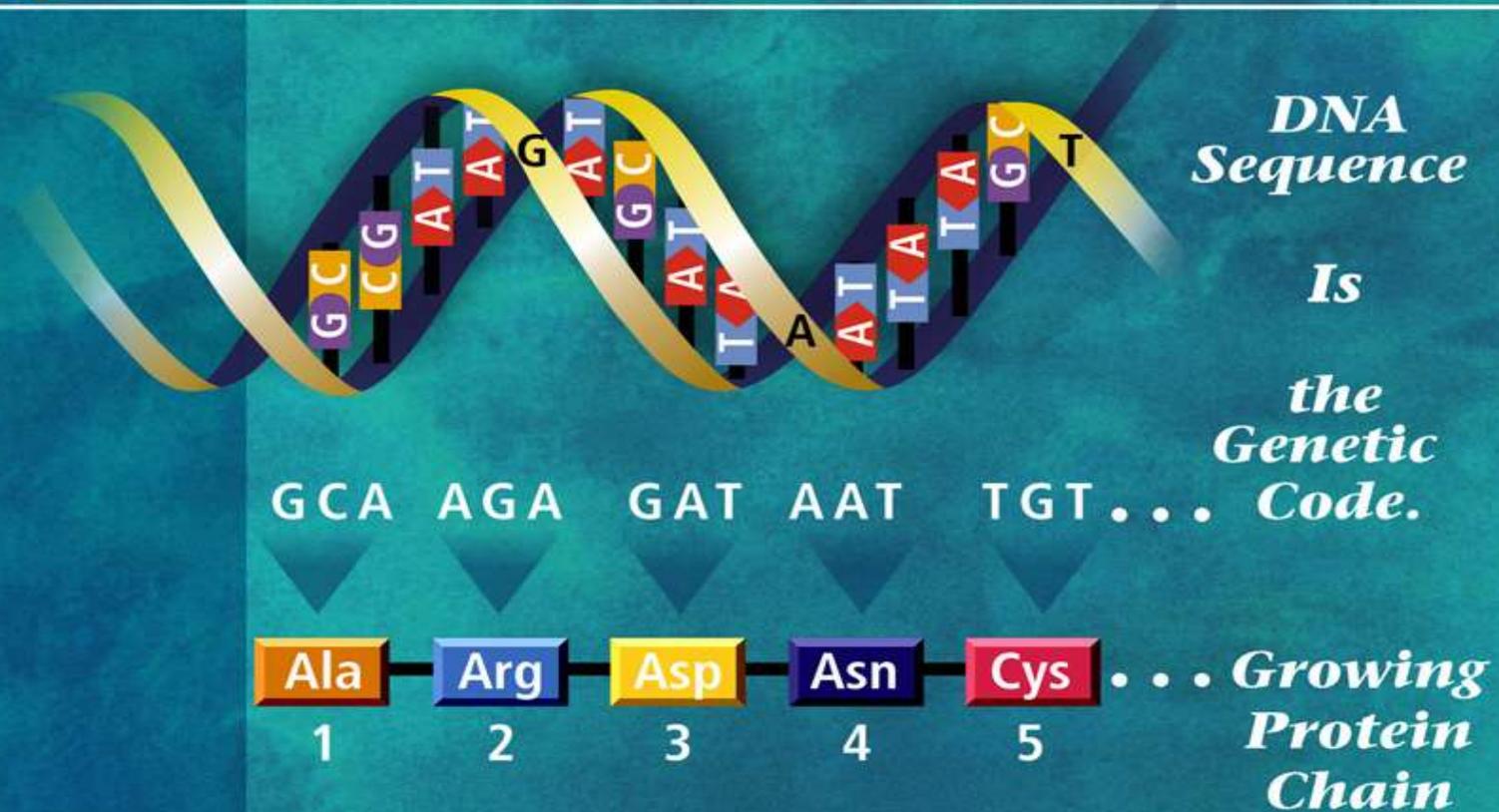
**Our hypothesis is that bioenergetic dysfunction lies at the nexus of the genetic and environmental “causes” of the “common-complex” diseases.**



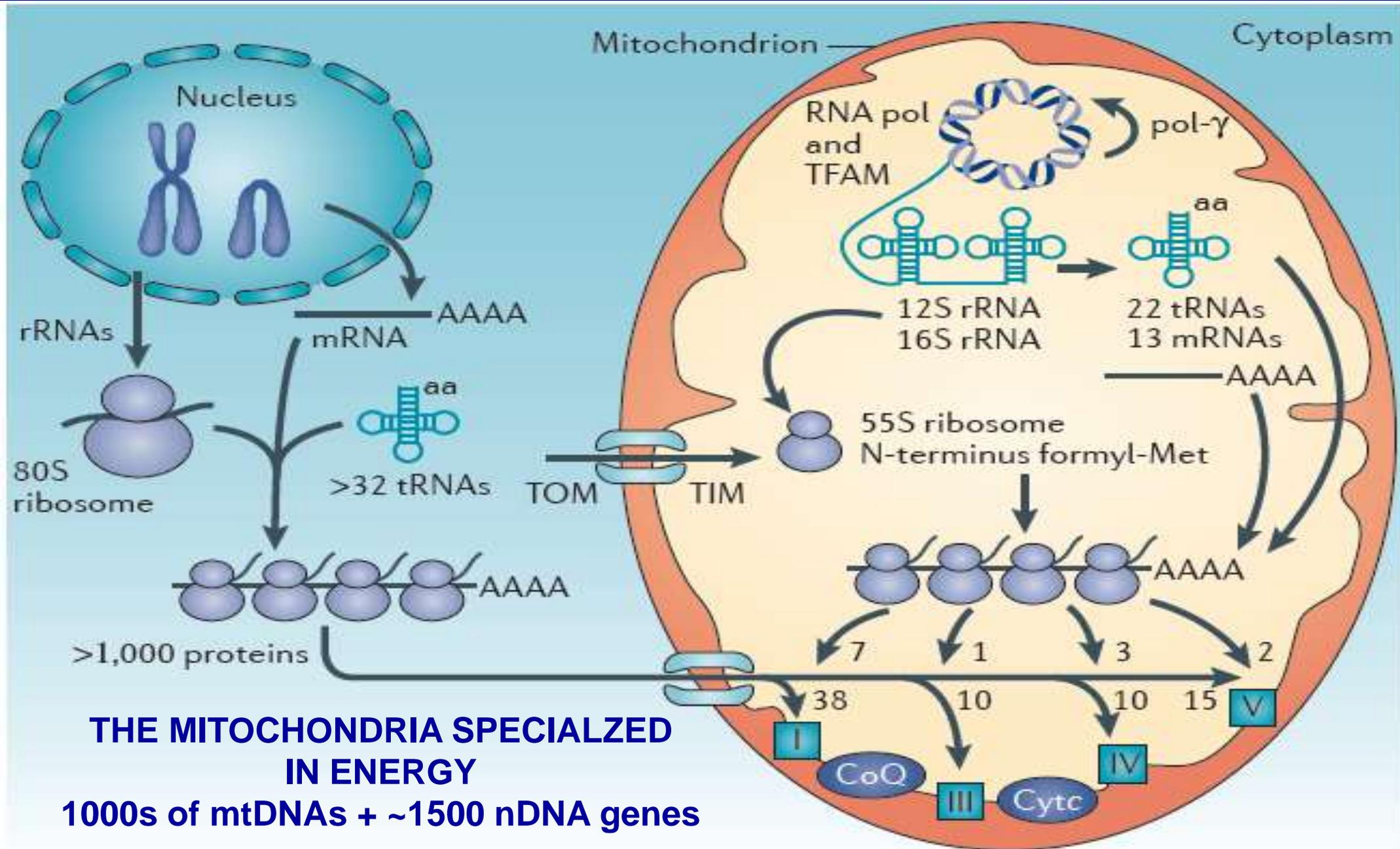
# Information Flow in The Cell



# DNA Genetic Code Dictates Amino Acid Identity and Order



# THE EUKARYOTIC CELL IS COMPOSED OF TWO ORGANISMS



# MITOCHONDRIAL FUNCTION IS CENTRAL TO HEALTH

**ENERGY:** Fats + Sugars + Oxygen = Energy (heat + work) + CO<sub>2</sub> + H<sub>2</sub>O

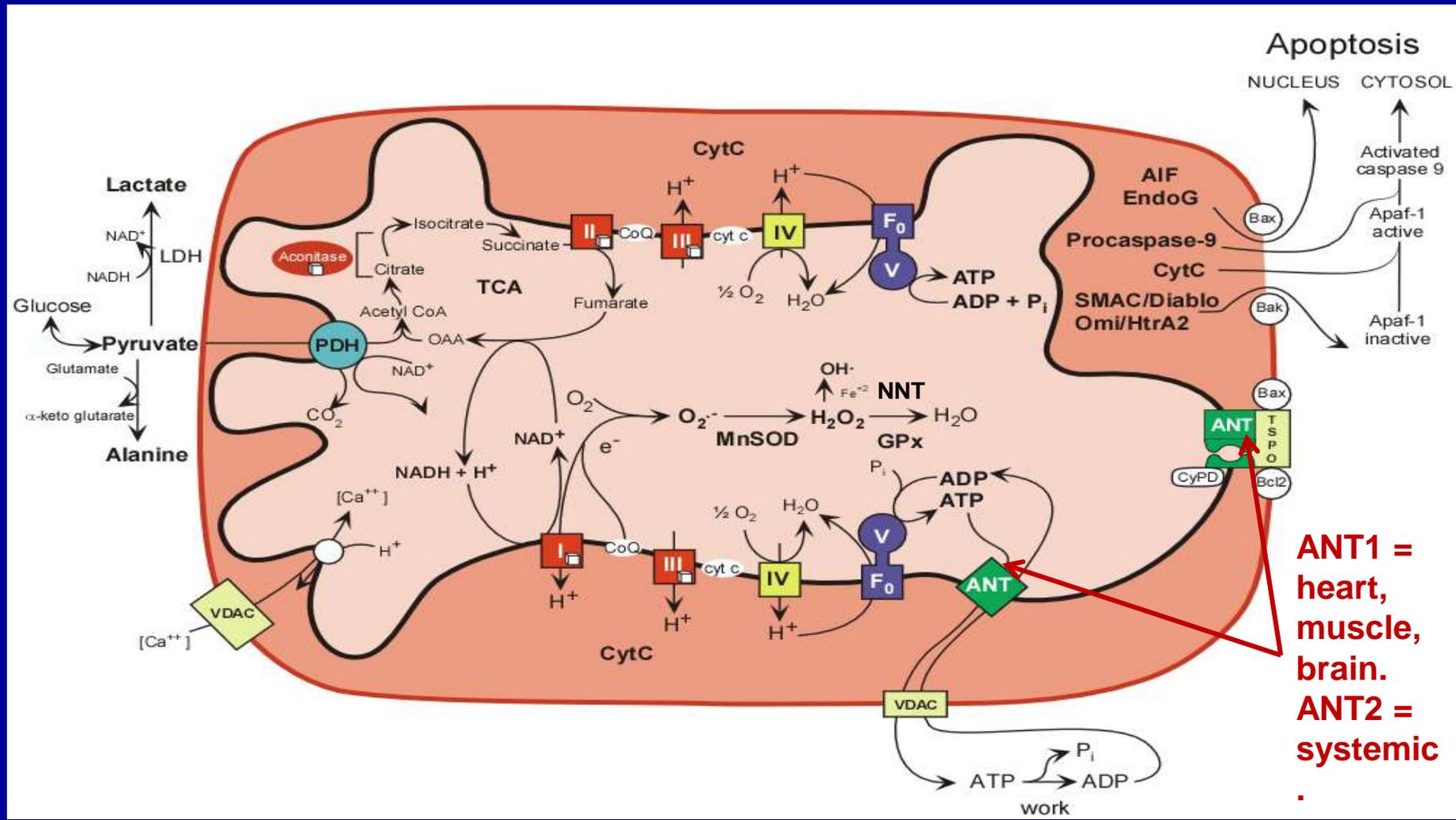
**REDOX BALANCE:** Thiol-Disulfide Regulation of Pathways and Transcription Factors.

**REACTIVE OXYGEN SPECIES (ROS):** Oxygen Radicals + Signal Transduction.

**Ca<sup>++</sup> REGULATION:** Regulates Cytosol Ca<sup>++</sup>, Metabolism, & mtPTP.

**APOPTOSIS:** Energy ↓ + ROS ↑ = mtPTP Activated → Cell Death (Apoptosis).

**EPIGENOMIC REGULATION:** Mito. (ATP, acetyl CoA, SAM, α-ketoglutarate) modify epigenome.



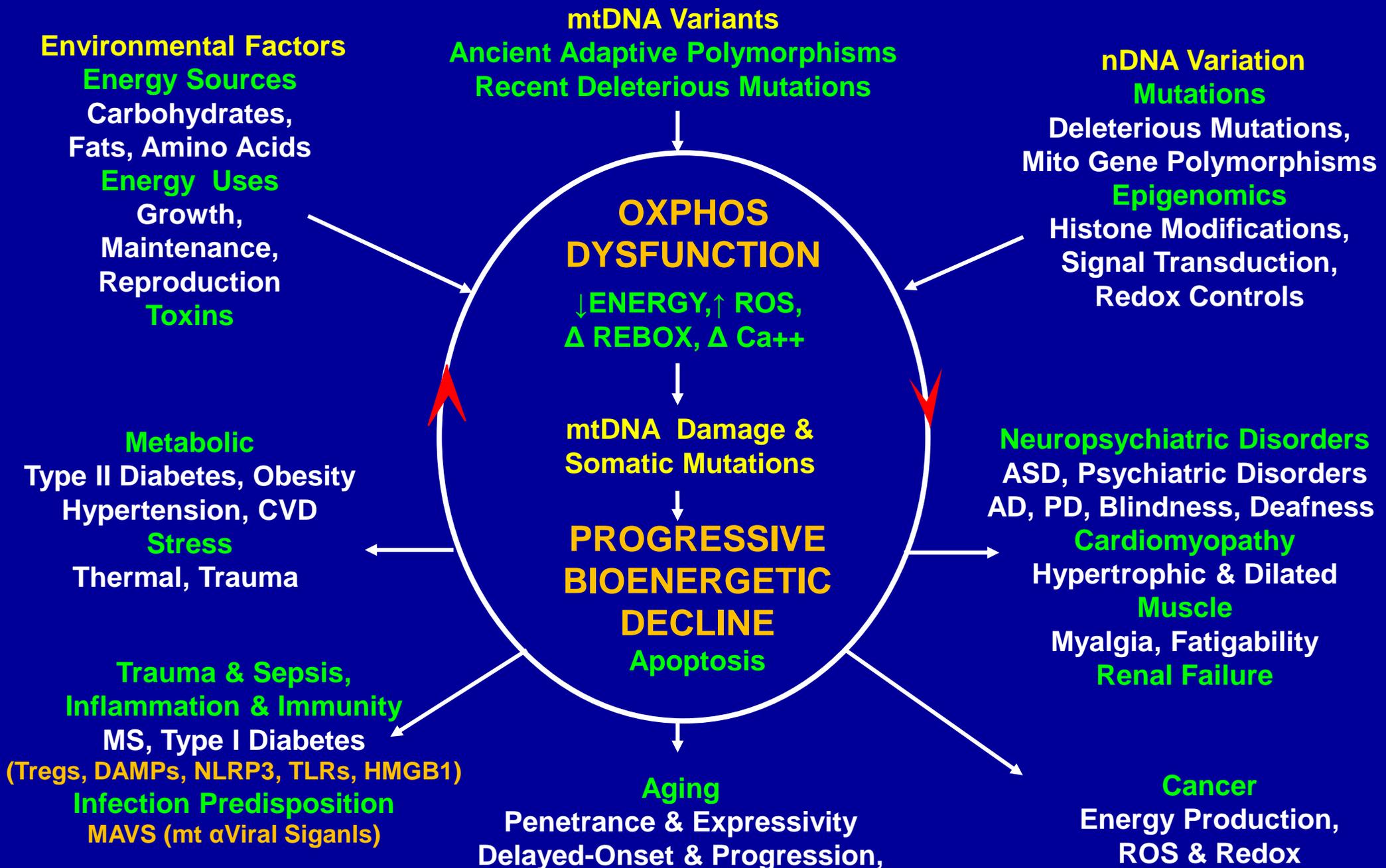


# THE BODY'S ANATOMY IS DEFINED BY ENERGY

## Origin of Tissue-Specific Disease

- **Energy Utilizing Tissues:**
  - **Brain:** High demand-Low reserve.  
~2% body weight but uses ~20% of the O<sub>2</sub>.
  - **Heart, Muscle, Renal, etc.:** Constant demand-High reserve.
- **Energy Storage Tissues:**
  - **WAT:** Energy storage for activities.
  - **BAT:** Energy storage for thermal regulation.
- **Energy Homeostasis Tissues:**
  - **Liver:** Glucose homeostasis.
- **Energy Sensing Tissues:**
  - **Purpose:** Monitor & adapt to seasonal plant carbohydrates
  - **Pancreatic  $\beta$  Cells:** Glucose abundant-Insulin signaling.
  - **Pancreatic  $\alpha$  Cells:** Glucose limitation-Glucagon signaling.

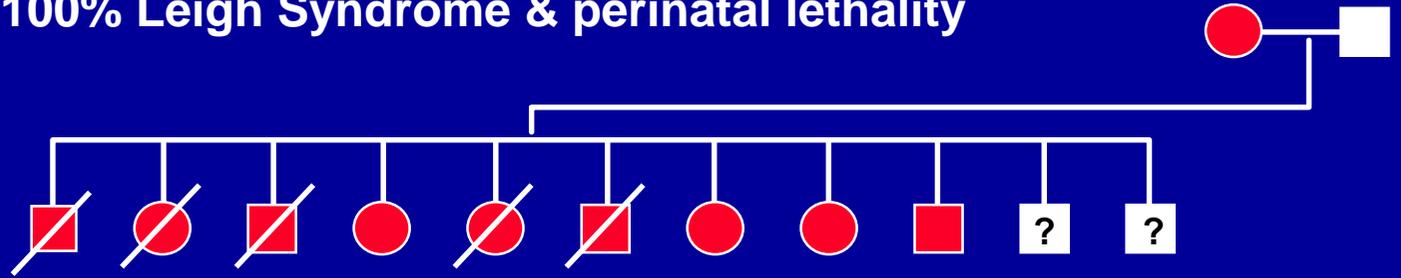
# A MITOCHONDRIAL ETIOLOGY OF COMPLEX DISEASES



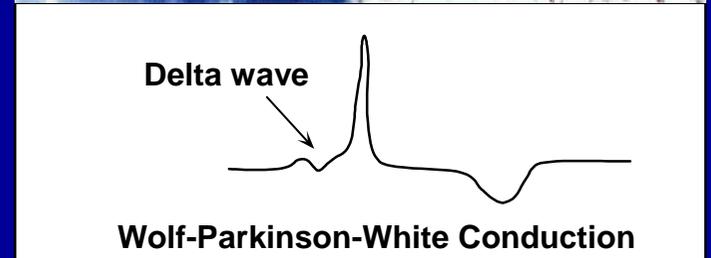
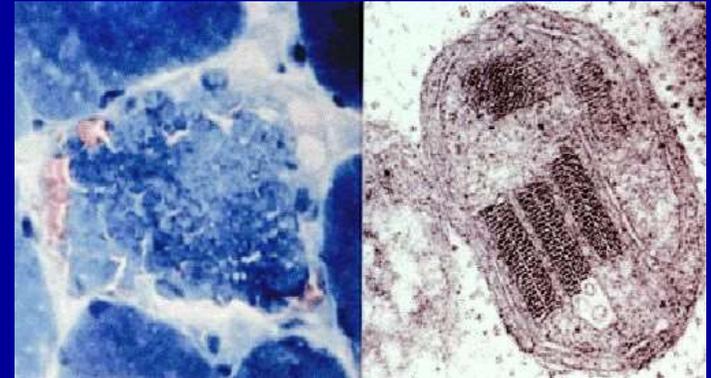
# mtDNA GENE MUTATIONS GIVE VARIABLE PHENOTYPES

THE SAME mtDNA tRNA<sup>Leu(UUR)</sup> np A3243G MUTATION CAUSES DIFFERENT DISEASES

10-30% Autism & Type I & II diabetes;  
 > 70% mutation myopathy, cardiomyopathy & MELAS;  
 ~100% Leigh Syndrome & perinatal lethality

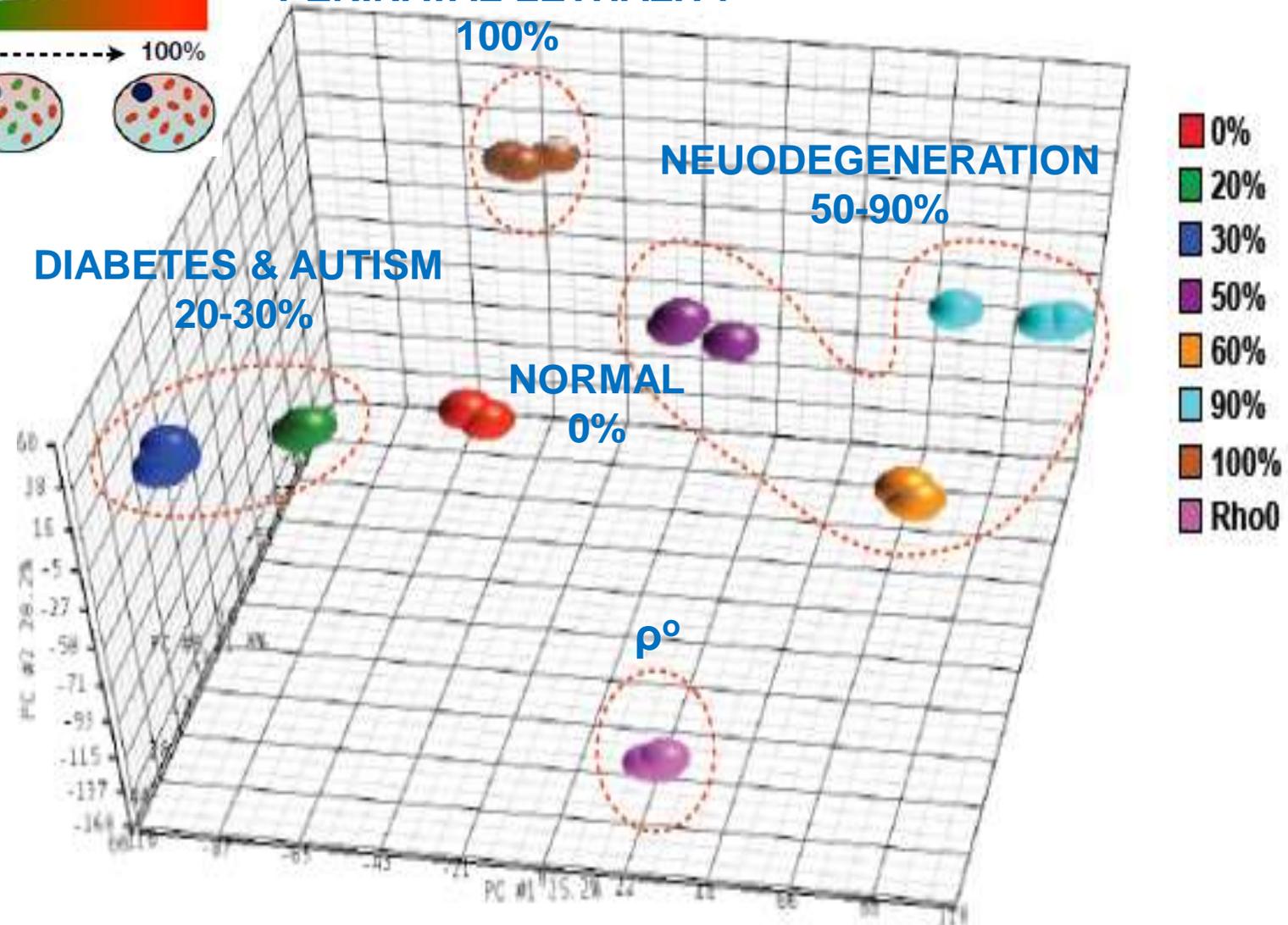
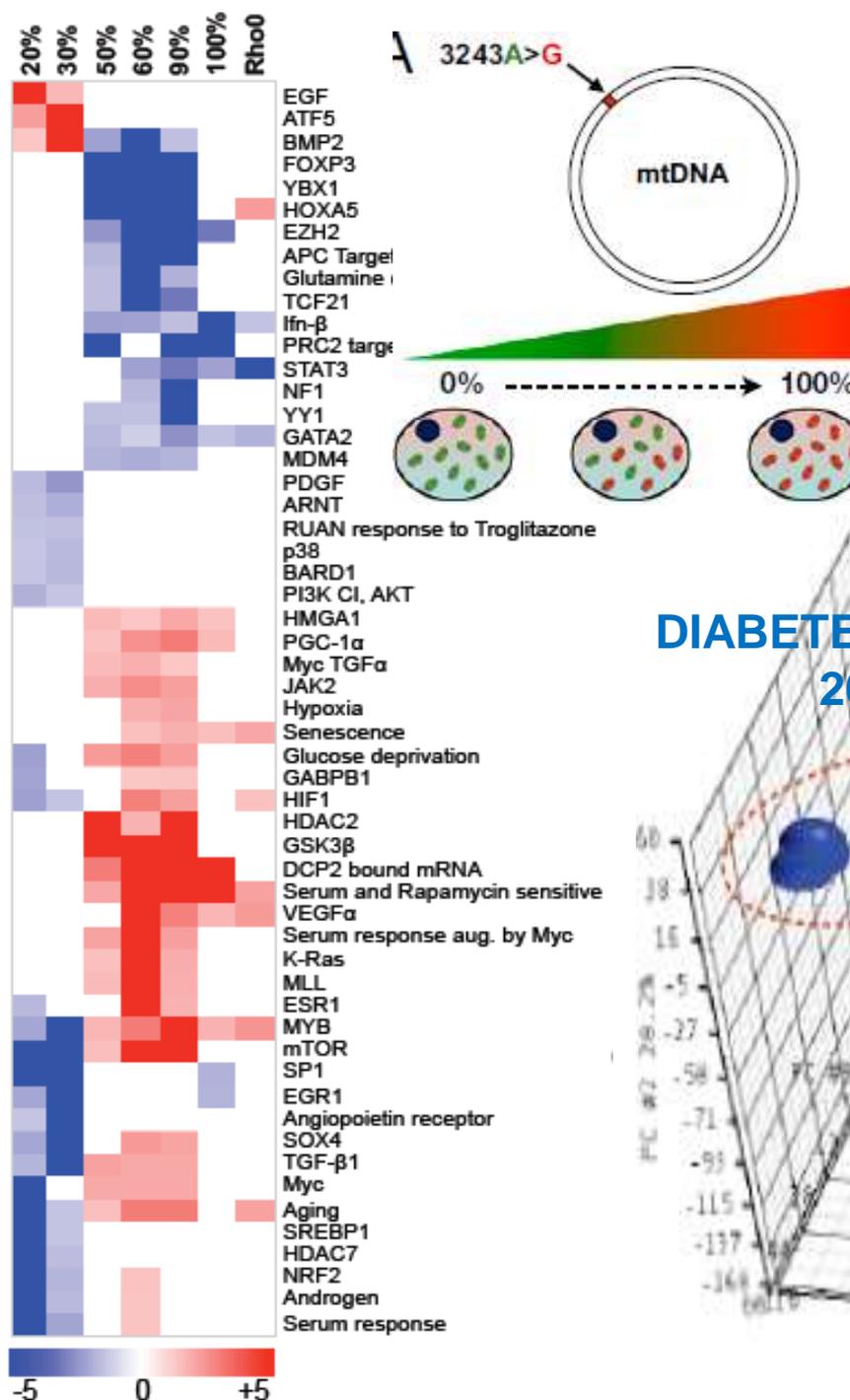


Lactic Acidosis	+	+	+	+	+	+	+	+	+	?	?	+	-
Growth Retardation	+	+	+	+	+	+	+	+	+	?	?	+	-
Dementia	+	+	+	+	+	+							
Stroke-Like Episodes	+	+	+	+	-								
Hypertrophic Cardiomyopathy	+	+	+	+	+	+							
Conduction Abnormalities	+	+		+	+	+							

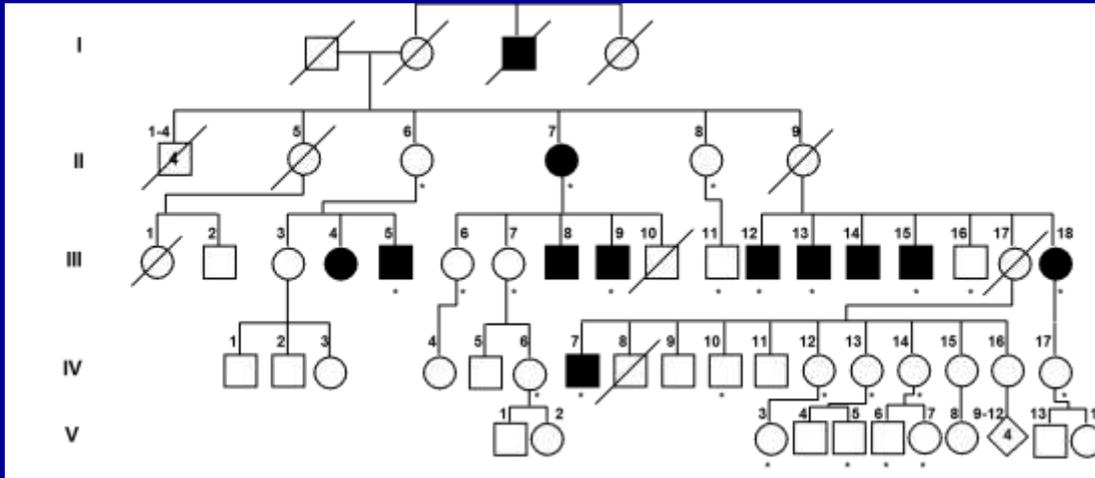


# MULTIPHASIC NUCLEAR RESPONSES TO CHANGING mtDNA 3243A>G HETEROPLASMY EXPLAINS PHENOTYPIC VARIATION

## LEIGH SYNDROME & PERINATAL LETHALITY



# LEBER HEREDITARY OPTIC NEUROPATHY MATERNAL INHERITANCE, MALE BIAS, & BACKGROUND REGULATION OF EXPRESSIVITY



**MATERNALLY INHERITED  
OPTIC NEUROPATHY,  
VARIABLE PENETRANCE,  
& 4:1 MALE BIAS**

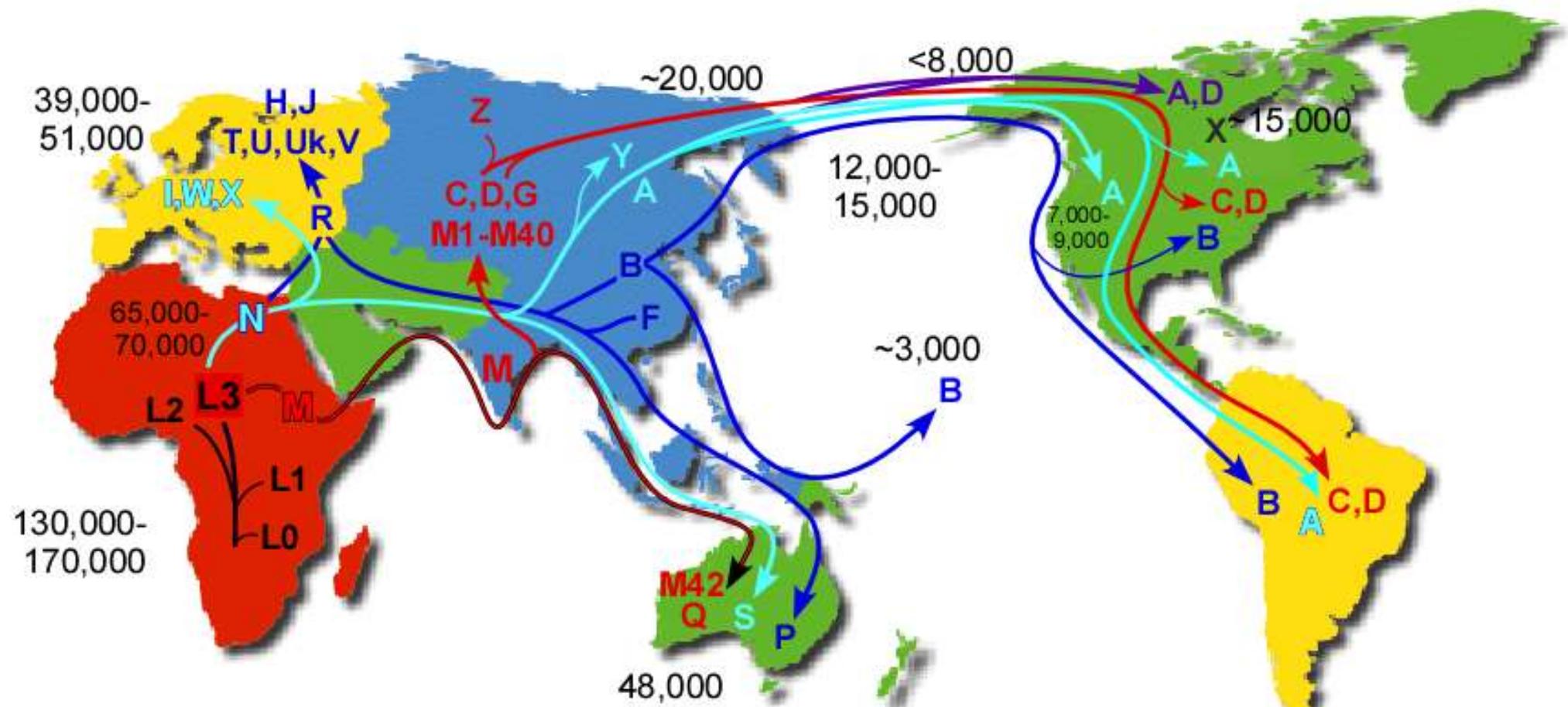
mtDNA *ND4* np 11778 G>A  
arginine 340 to histidine

**Penetrance of Milder Mutations exacerbated  
by Haplogroup J or *ND1* T3394C (Y30H)**

<u>Mutation</u>	<u>Gene</u>	<u>~ % Patients</u>	<u>Complex I Defect</u>	<u>AA Cons</u>	<u>% Hplgr J</u>	<u><i>ND1</i> 3394C</u>
3460A	ND1	15	Severe	Moderate	~10	-
11778A	ND4	50	Moderate	Moderate	29	+
14484C	ND6	15	Mild	Low	79	+

**ANCIENT mtDNA VARIANTS PREDISPOSE TO COMMON DISEASES**  
**mtDNA VARIATION CORRELATES WITH THE GEOGRAPHIC LOCATIONS OF INDIGENOUS PEOPLES.**

**Groups of Related mtDNA Haplotypes (Haplogroups) were Founded by Adaptive Variants that Permitted Migration into New Environments.**



Mutation rate = 2.2 – 2.9% / MYR  
Time estimates are YBP.

# TRANSITIONAL HAPLOGROUPS FOUNDED BY FUNCTIONAL MUTATIONS

**Nodal Founder mtDNA Mutations for  
Macro-haplogroup N**

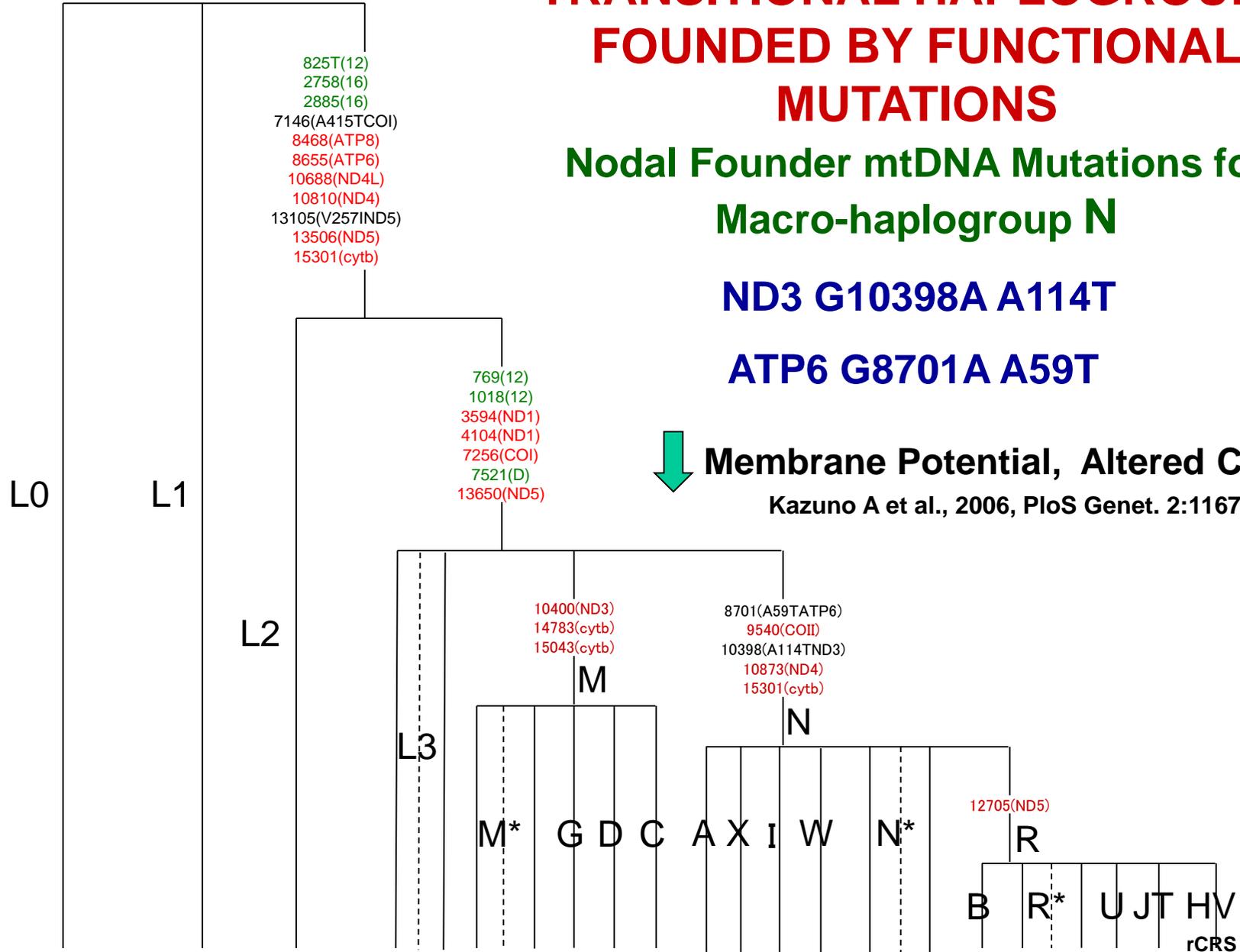
**ND3 G10398A A114T**

**ATP6 G8701A A59T**



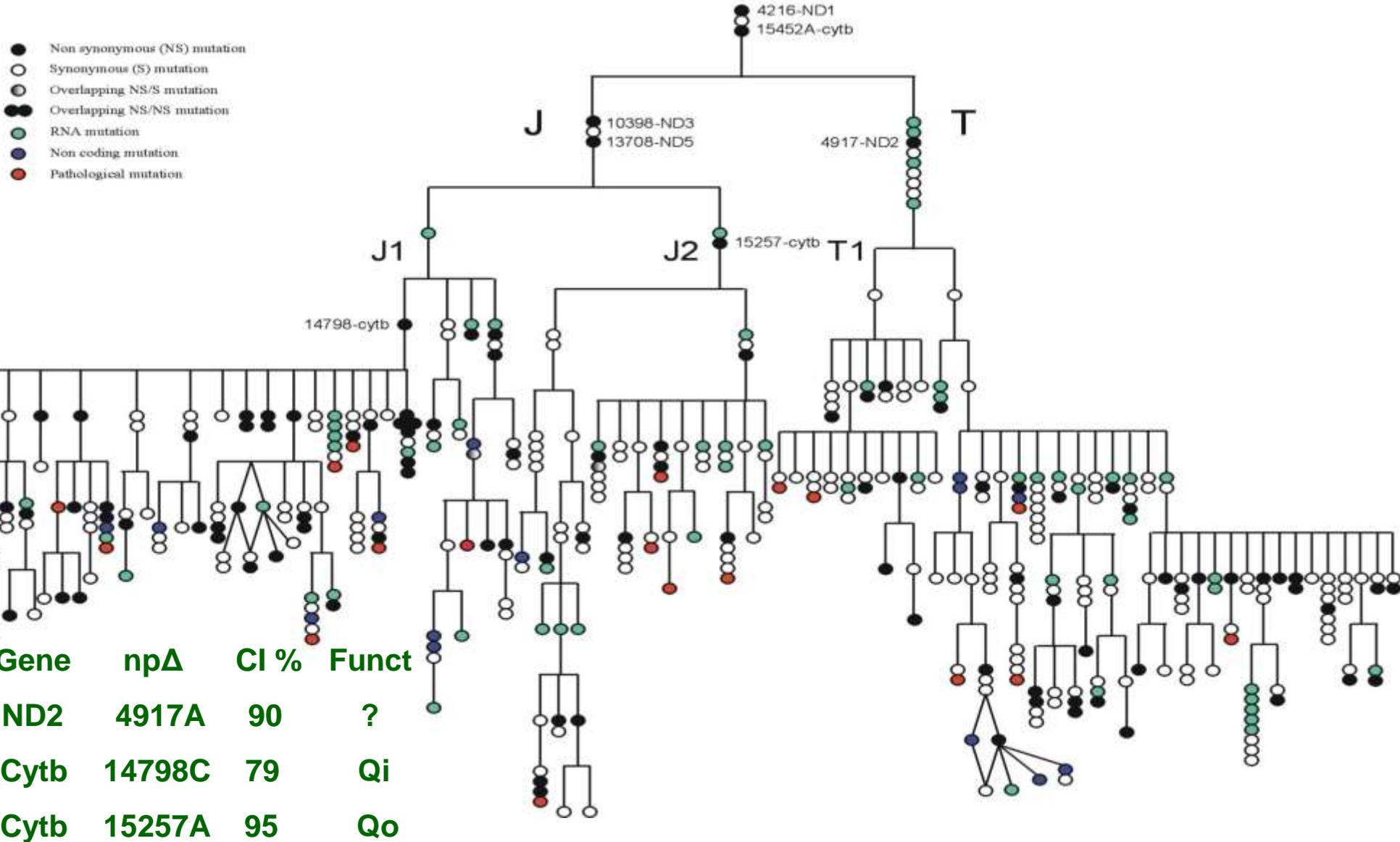
**Membrane Potential, Altered Ca<sup>++</sup>**

Kazuno A et al., 2006, PloS Genet. 2:1167



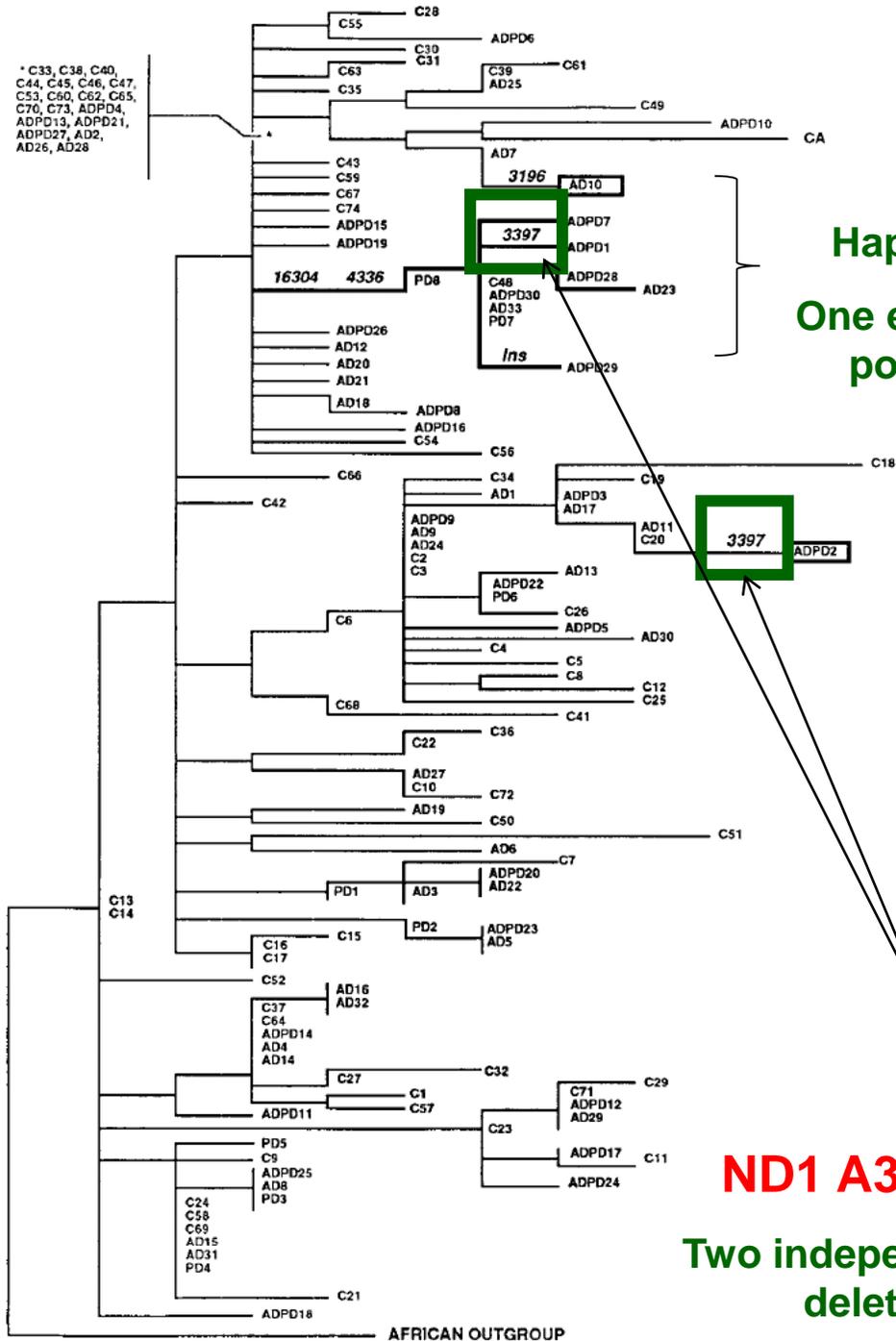
# NODAL SUBSTITUTIONS ALTERING CONSERVED AMINO ACIDS INITIATE EACH mtDNA HAPLOGROUP

## EUROPEAN HAPLOGROUPS T & J



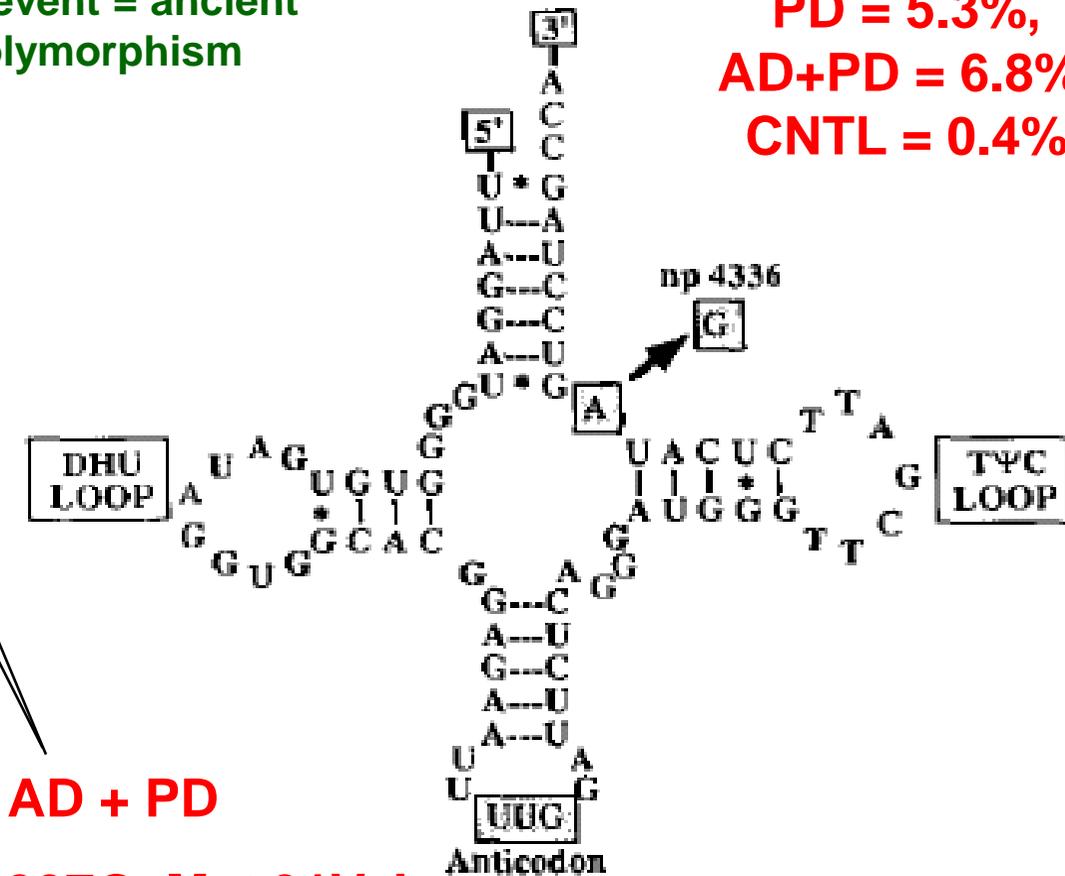
# EUROPEAN ASSOCIATION OF tRNA<sup>Gln</sup> A4336G & ND1 (M31V) WITH ALZHEIMER & PARKINSON DISEASE

\* C33, C38, C40, C44, C45, C46, C47, C53, C50, C62, C65, C70, C73, ADPD4, ADPD13, ADPD21, ADPD27, AD2, AD26, AD28



Haplogroup H5a  
One event = ancient polymorphism

AD = 3.3%,  
PD = 5.3%,  
AD+PD = 6.8%,  
CNTL = 0.4%



AD + PD

ND1 A3397G Met 31Val

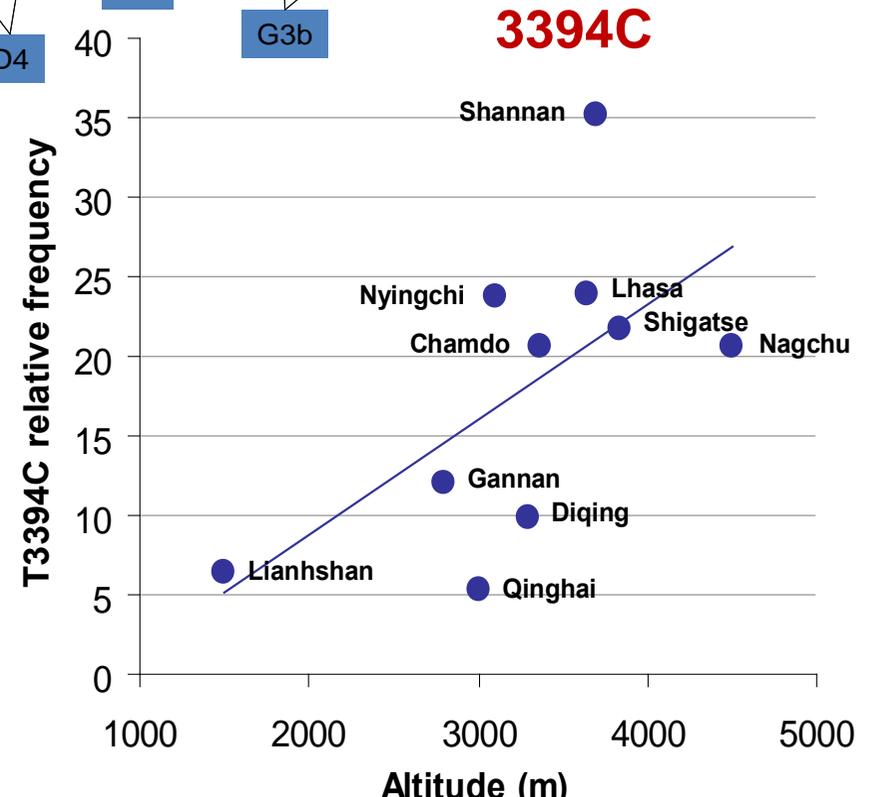
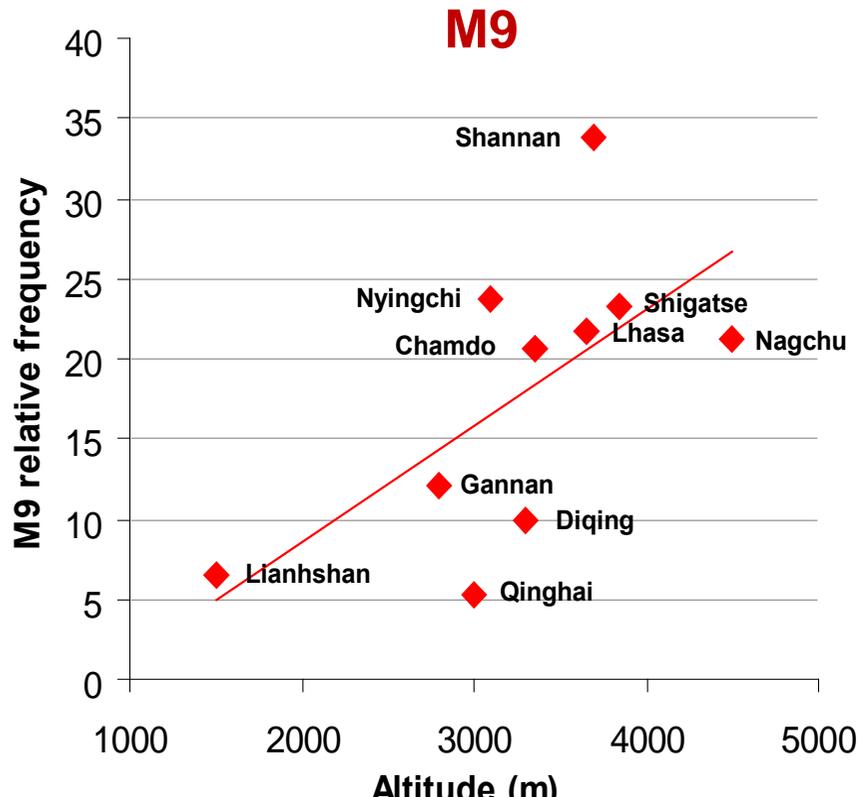
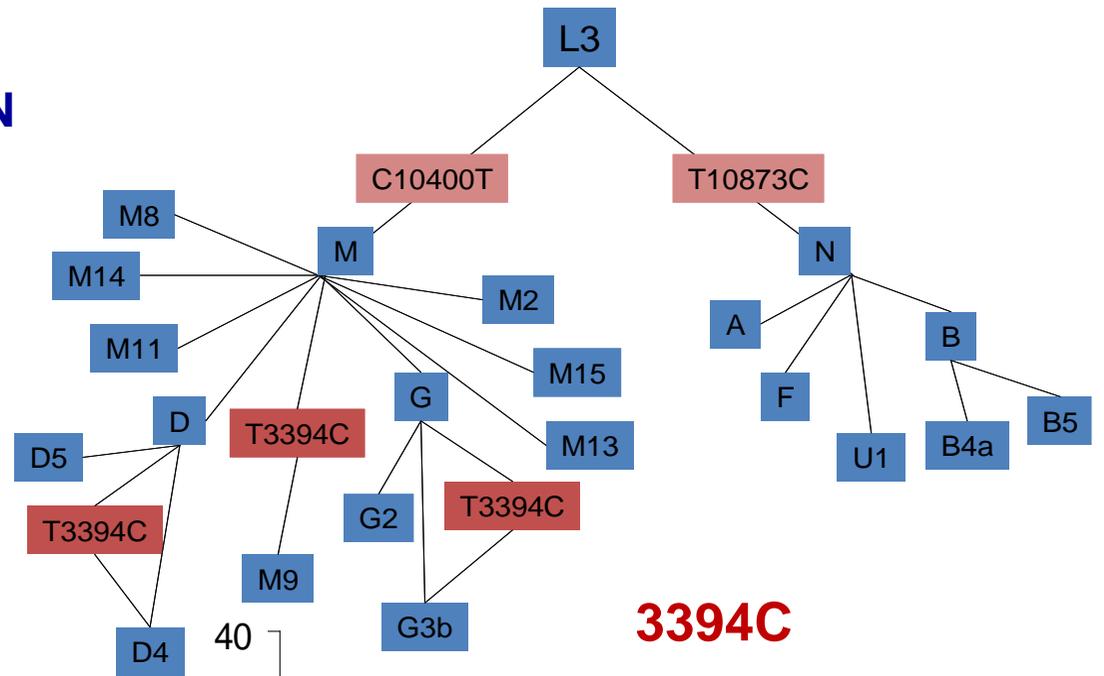
Two independent events = recent deleterious mutation

# ADAPTATIVE SELECTION

mtDNA AMINO ACID SUBSTITUTIONS CAN BE POSITIVE OR NEGATIVE DEPENDING ON CONTEXT

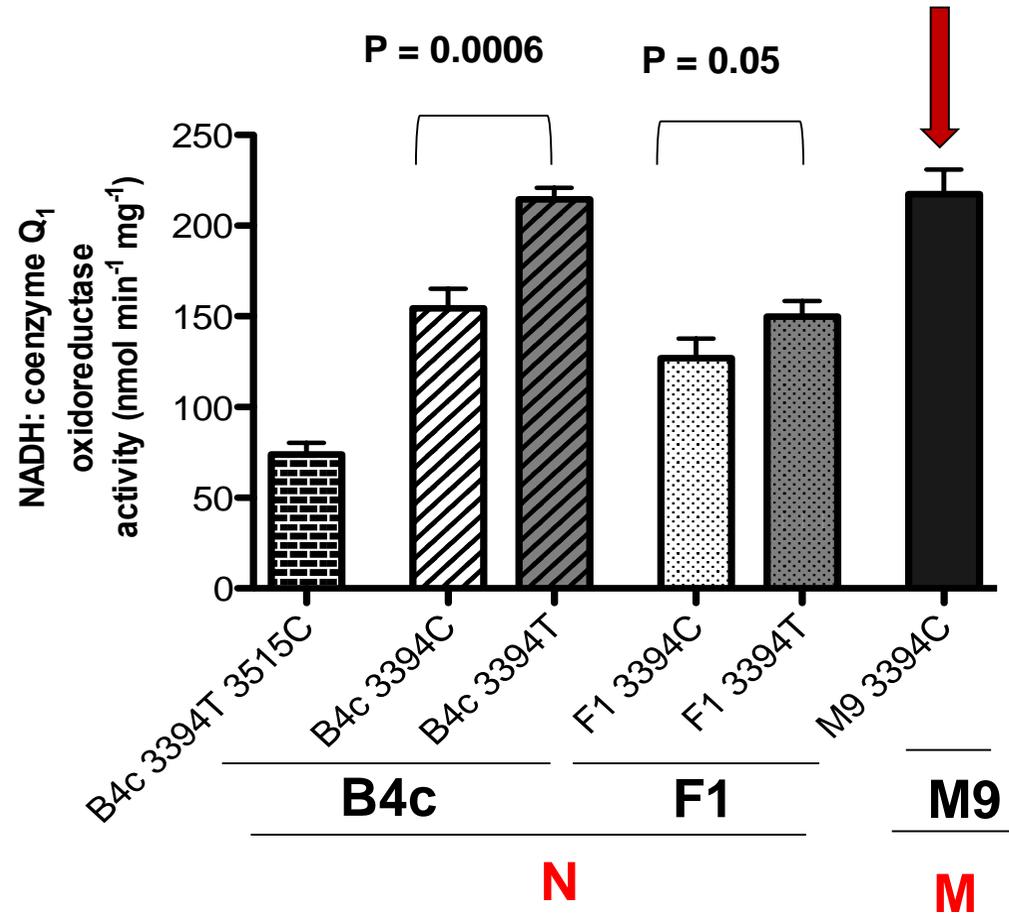
M [ND3 10398G (114A)]  
IS ENRICHED IN TIBET &  
[ND1 3394C (30H)]

IS PATHOGENIC ON N  
BUT ADAPTIVE ON M IN TIBETANS



**THE ND1 3394C (30H) MUTATION CAUSES A COMPLEX I DEFICIENCY ON N HAPLOGROUPS B4 & F1, BUT IS DOES NOT IMPAIR COMPLEX I ON M HAPLOTYPE M9**

**COMPLEX I SPECIFIC ACTIVITY**



Blood Platelets

X

143B (TK-) po

+ BrdU, - uridine



Cybrids

# ASSOCIATION BETWEEN mtDNA HAPLOGROUPS AND AUTISM SPECTRUM DISORDER RELATIVE TO HAPLOGROUP R0

Illumina 550 GWAS data set. Generalized Linear Modeling Analysis

Results with GEE Solution for the AGRE Family mtDNA SNPs Relative to R0 (H-HV-V)

Continent Co-Variant	Haplogroup	Odds Ratio	95% Confidence Interval	P-Value	Benjamini-Hochberg Correction
Europeans	I	2.12	1.26-3.55	0.004	0.04
	J	2.18	1.59-3.00	<0.001	<0.001
	K	1.76	1.31-2.36	<0.001	0.002
	T	1.79	1.30-2.46	0.003	0.004
	U	1.98	1.45-2.71	<0.001	<0.001
	W	1.41	0.81-2.45	0.22	0.89
	X-O	2.00	1.23-3.25	0.005	0.04
Asians-N Amer	A	1.83	1.32-2.53	<0.001	0.004
	M	1.55	1.16-2.06	0.003	0.03
	N+	2.02	1.19-3.42	0.009	0.06
Africans	L	1.01	0.63-1.61	0.98	0.98
Gender (Male)		3.93	3.3-4.67	<0.001	<0.001

(N<sub>ALL</sub>=4041, N<sub>ASD</sub>=1624, 933 families with 1-12 members) (reference haplogroup = HHV+, N<sub>ALL</sub>=1792, N<sub>ASD</sub>=712)  
Covariates: haplogroups, sex.

# ASSOCIATIONS BETWEEN mtDNA HAPLOGROUPS & COMMON DISEASES

- **NEURODEGENERATIVE DISEASES**

- Autism
- Alzheimer Disease
- Parkinson Disease
- Macular Degeneration
- Familial Amyloidosis with Polyneuropathy
- Migraine
- Psychiatric Disorders

- **NEUROLOGICAL DISEASES**

- Stroke

- **METABOLIC DISEASES**

- Diabetes
- Cardiovascular Disease
- Metabolic Syndrome

- **INFLAMMATORY & INFECTIOUS DISEASES**

- Sepsis
- IgE Levels
- Asthma
- AIDS progression
- Anti-AIDS HAAT\* Lipodystrophy
- Osteoarthritis

- **AGING**

- **CANCERS**

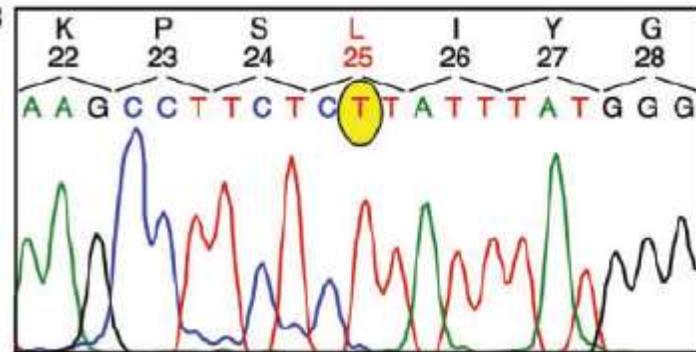
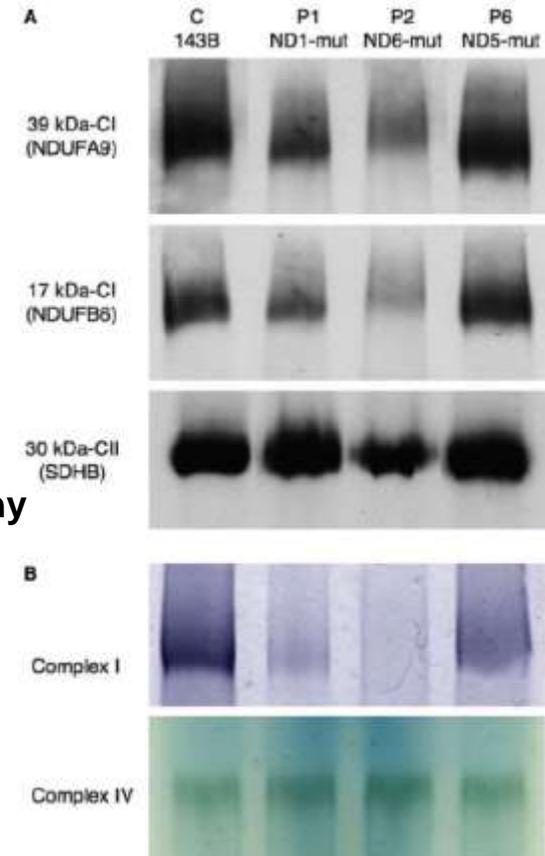
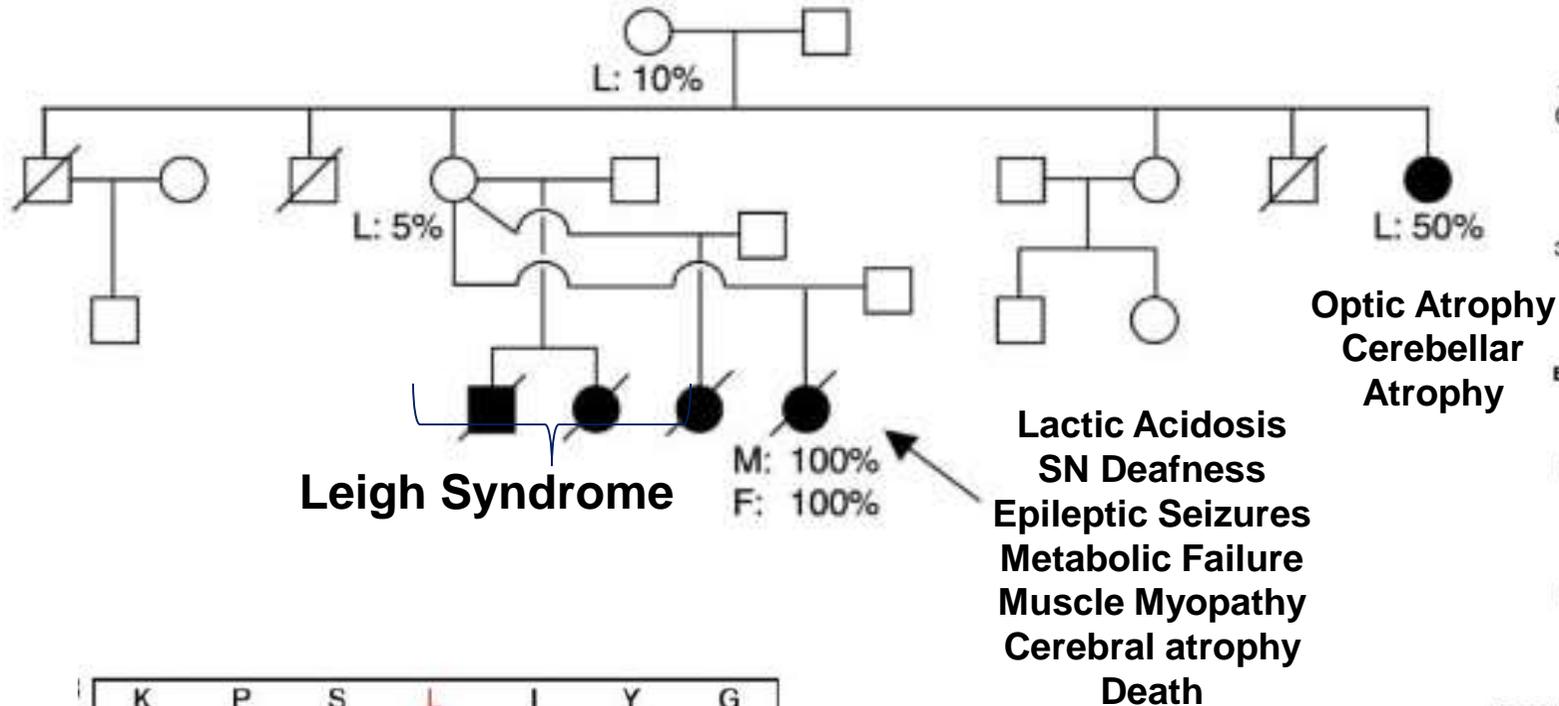
- **ATHLETIC PERFORMANCE (L0>L3>N>H>J-U-T)**

\* HAAT- highly active anti-retroviral therapy

H > J = T > U (U\* > U4 = U5a1 > Uk)

# HETEROPLASMIC mtDNA MUTATIONS SEGREGATE RAPIDLY

## A HUMAN HETEROPLASMIC mtDNA ND6 G14600A P25L MUTATION SEGREGATES TO GIVE VARIOUS PHENOTYPES



ND6 Gene: 14600G>A

Species	Position	Sequence	Mutation	Position
Human	15	GFVGFSSKPS	P	35
Bovine	16	GFVGFSSKPS	P	36
Pig	16	GFVGFSSKPS	P	36
Rat	15	GCLGLALKPS	P	35
Mouse	15	GCLGLALKPS	P	35
Xenopus	15	GLVAVASNPS	P	35
Zebrafish	15	GMIA IASNPA	P	35

P25L

# MOUSE MODELS OF mtDNA DISEASE:

**ND6 = NEURODEGENERATION**

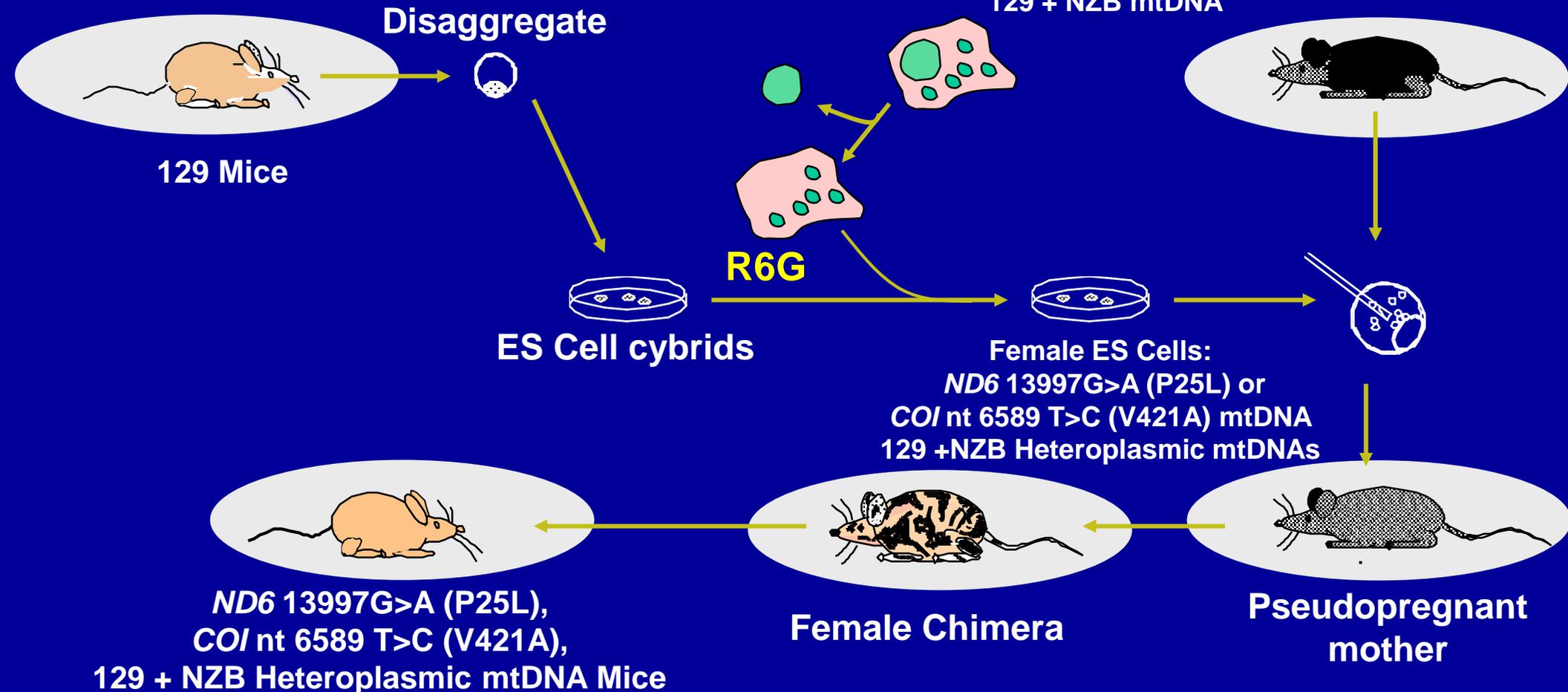
**COI = CARDIOMYOPATHY & METABOLIC SYNDROME**

**129 + NZB = NEUROPSYCHIATRIC DISEASE**

**Mouse ND6 nt G13997A (P25L) = Human ND6 G14600A (P25L),**

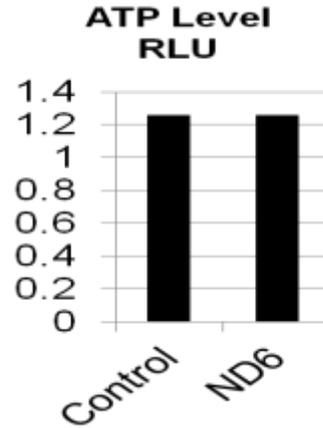
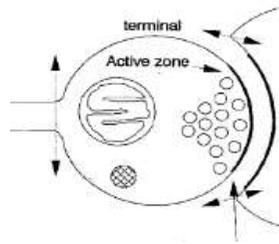
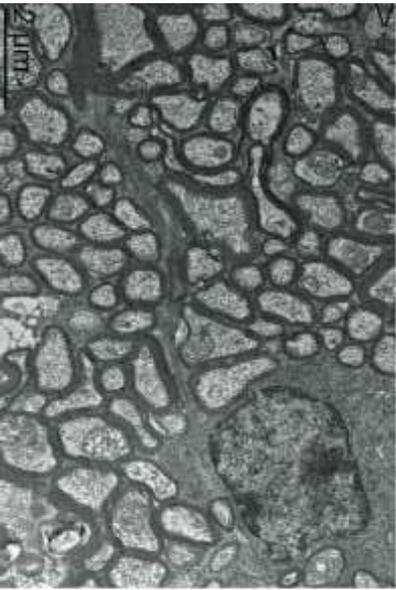
**COI nt 6589 T>C (V421A), &  
129+NZB Heteroplasmy**

**Mouse Cell Line Mutants  
ND6 13997G>A (P25L) mtDNA,  
COI nt 6589 T>C (V421A) mtDNA,  
129 + NZB mtDNA**

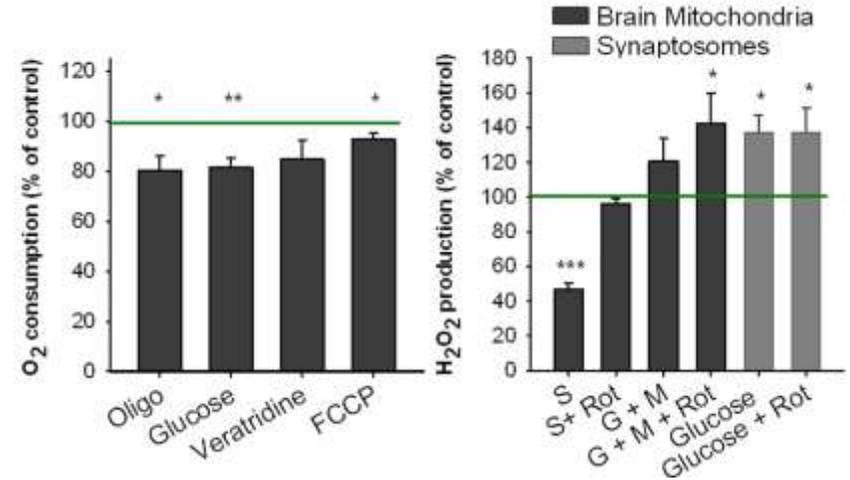


# NEURONAL DEGENERATION

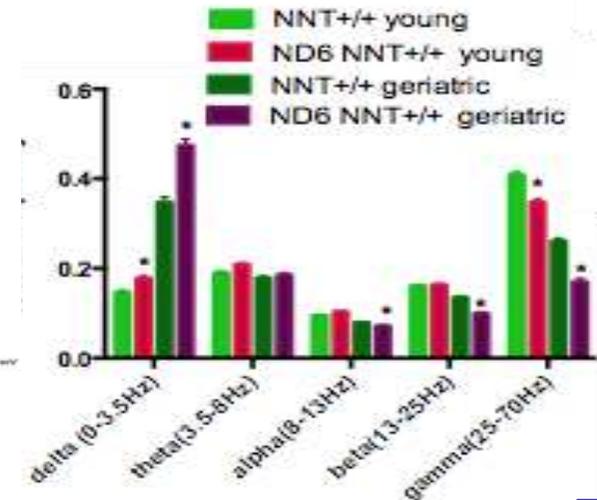
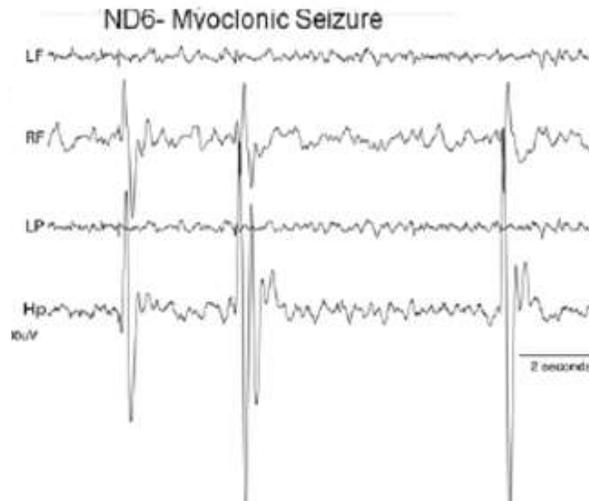
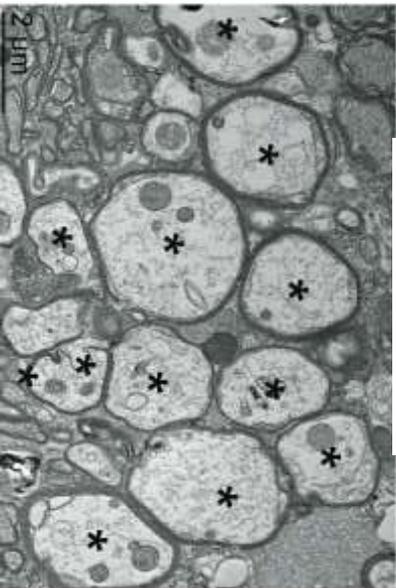
# THE mtDNA ND6 P25L MUTATION RESULTS IN ELEVATED REACTIVE SPECIED (ROS) PRODUCITON AND NEUROLOGICAL DISEASE



## INCREASED ROS PRODUCTION, NORMAL ATP



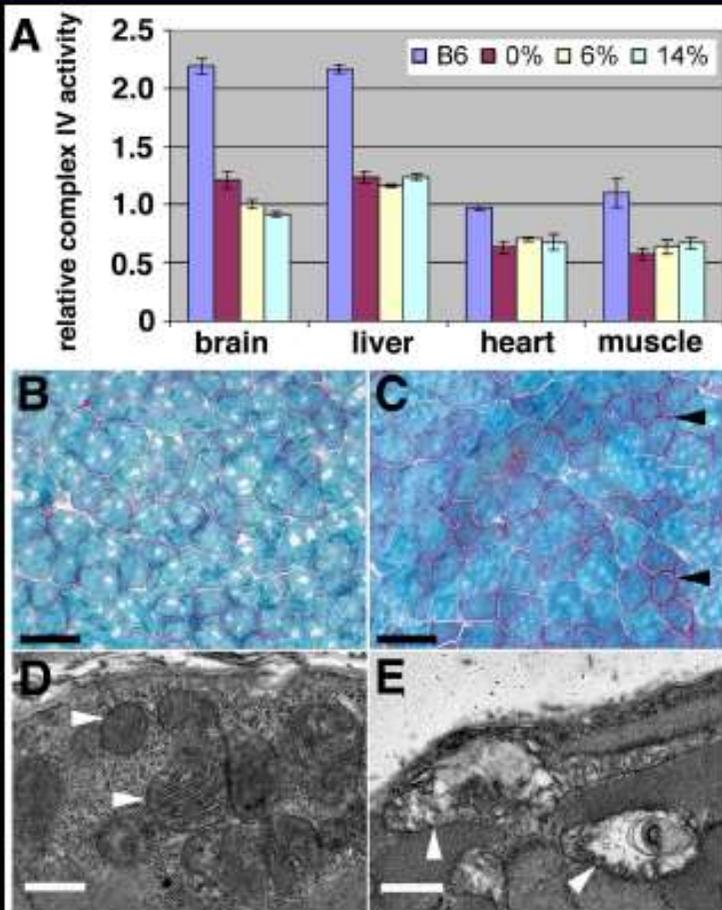
## ALTERED EEGs



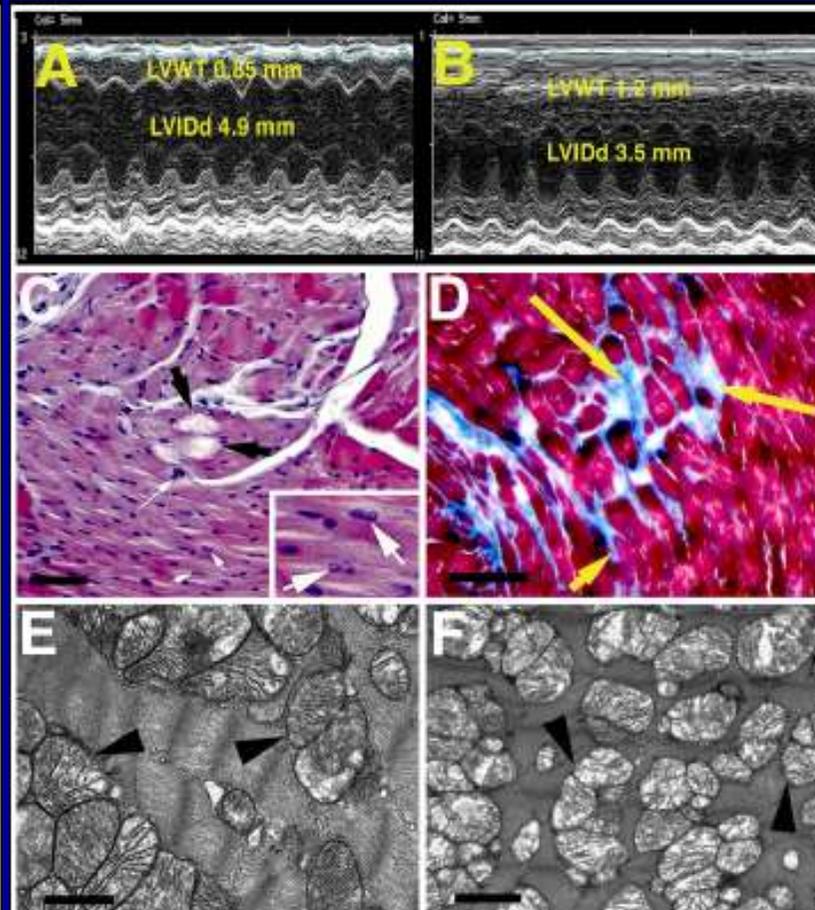
# THE COI/V421A mtDNA MOUSE EXHIBITS MYOPATHY, CARDIOMYOPATHY & METABOLIC SYNDROME

COI (6589T>C, V421A) MISSENSE MUTATION

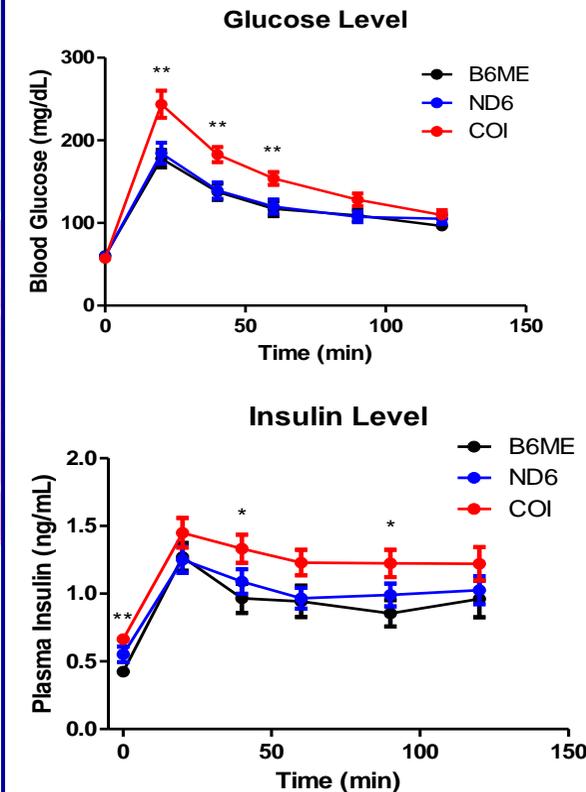
## Mitochondrial Myopathy



## Mitochondrial Cardiomyopathy

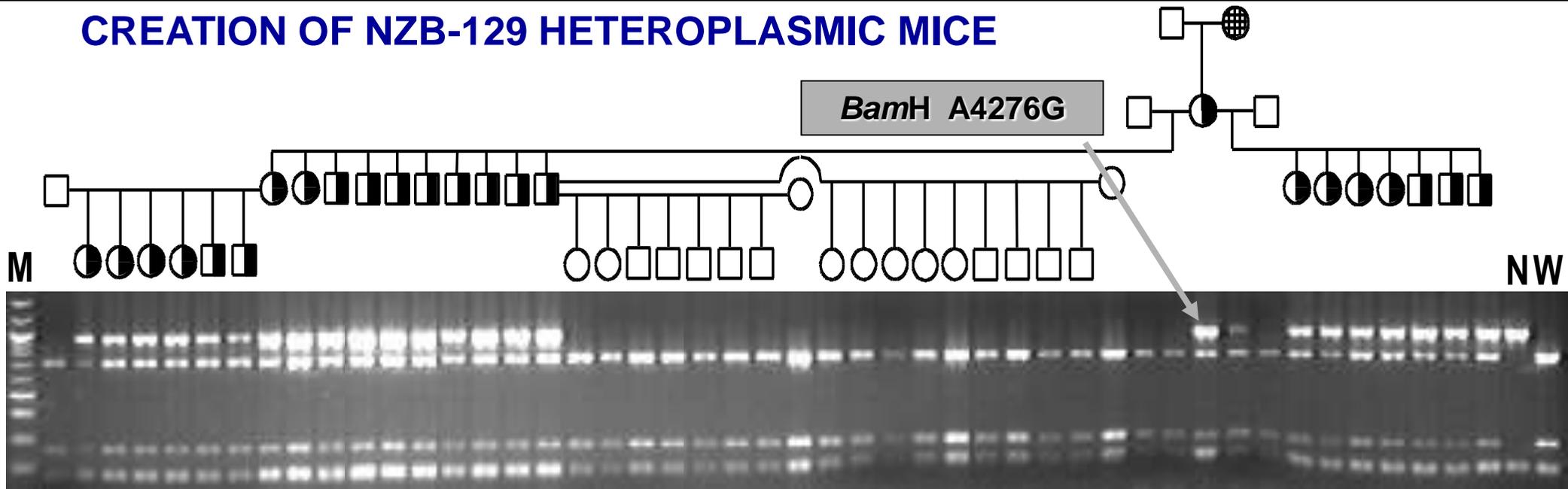


## Glucose Intolerance & Insulin Resistance

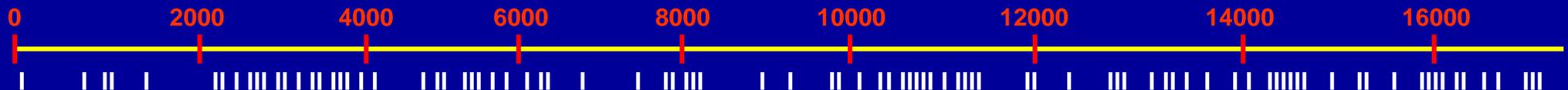


# MATERNAL TRANSMISSION OF NORMAL mtDNA HETERPLASMY

## CREATION OF NZB-129 HETEROPLASMIC MICE



91 “129” vs “NZB” mtDNAs differences: 15 aa $\Delta$  +5 tRNA +7 rRNA + 11 CR



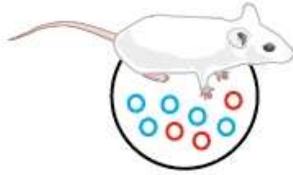
Backcrossed 20 generations onto C57BL/6L nDNA.

Permitted nZB-129 mtDNAs to segregate.

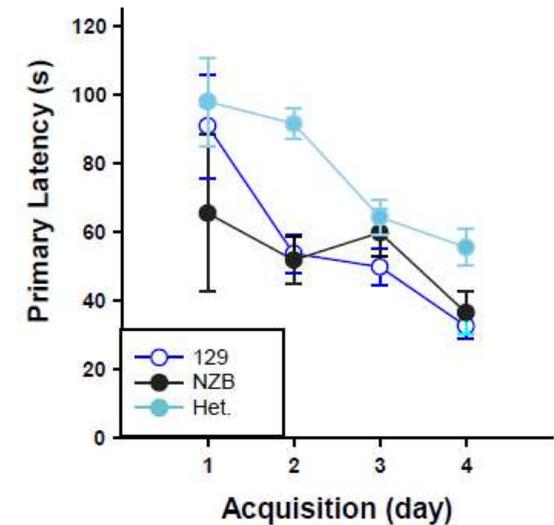
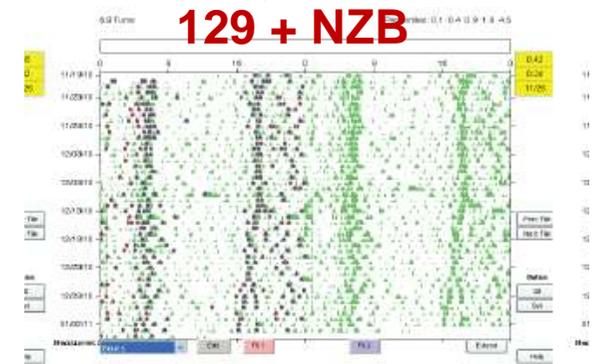
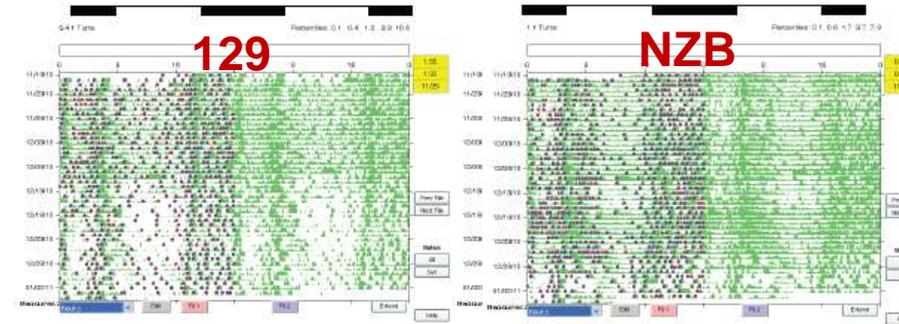
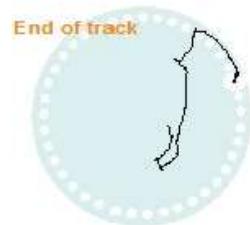
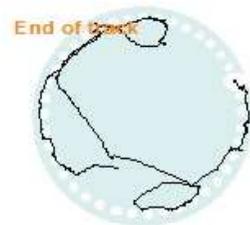
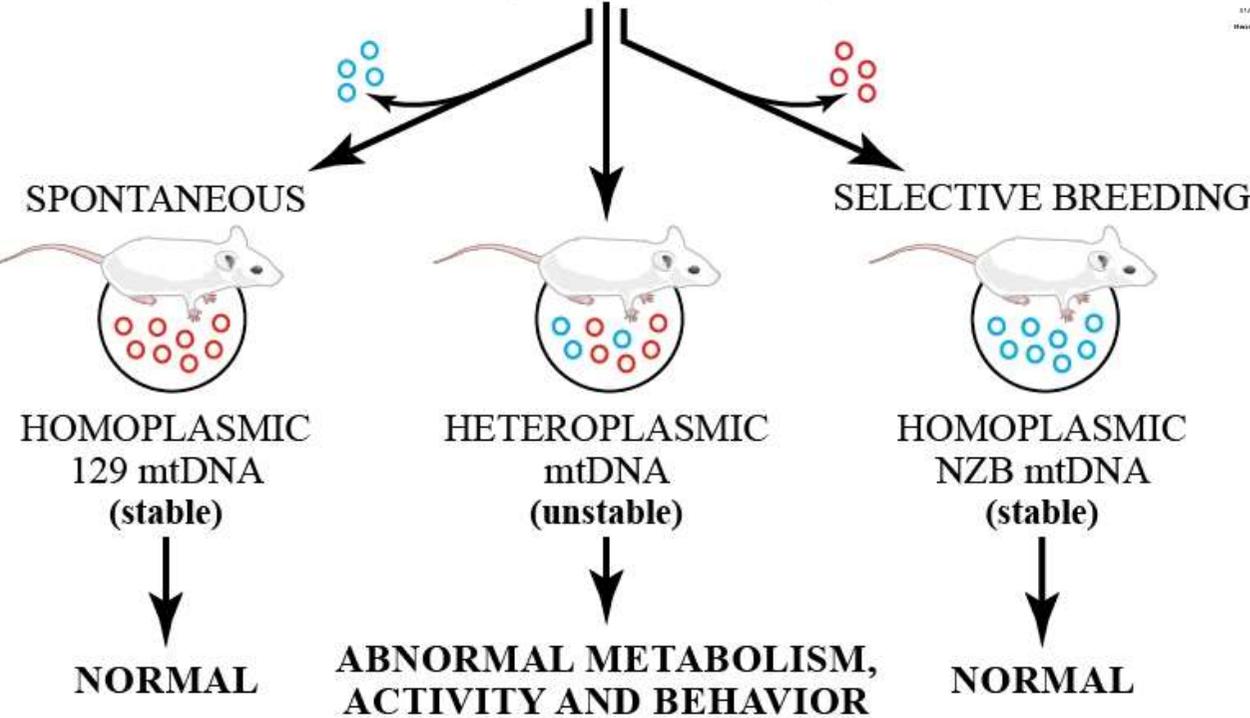
Correlated mtDNA NZB-129 genotypes with behavior.

# 129-NZB mtDNA HETEROPLASMIC MICE ARE DEPRESSED & HAVE LONG TERM MEMORY DEFICITS

## HETEROPLASMIC mtDNA



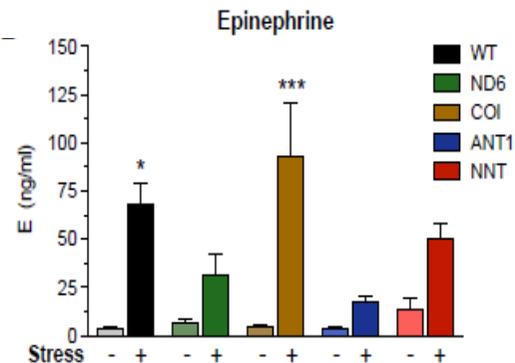
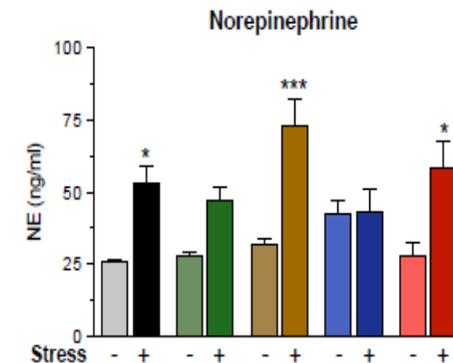
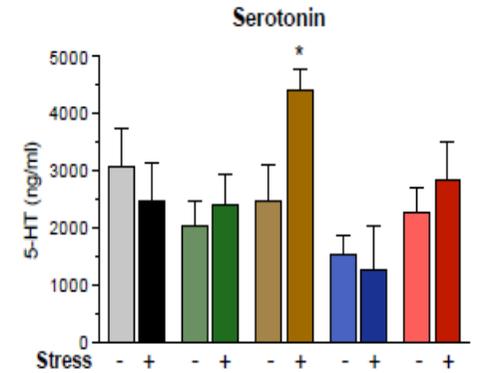
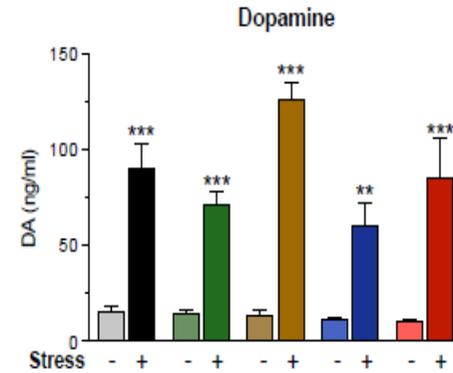
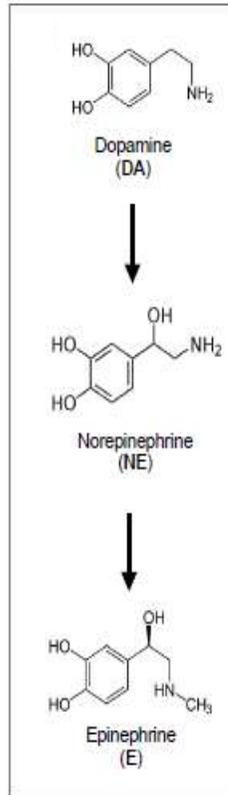
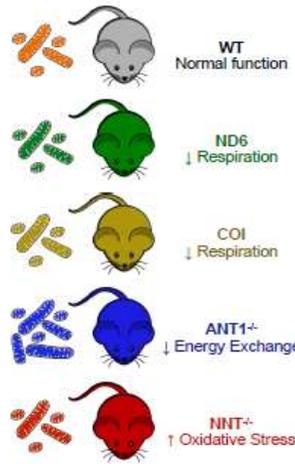
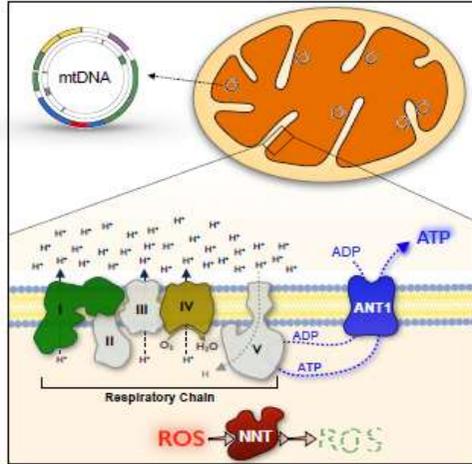
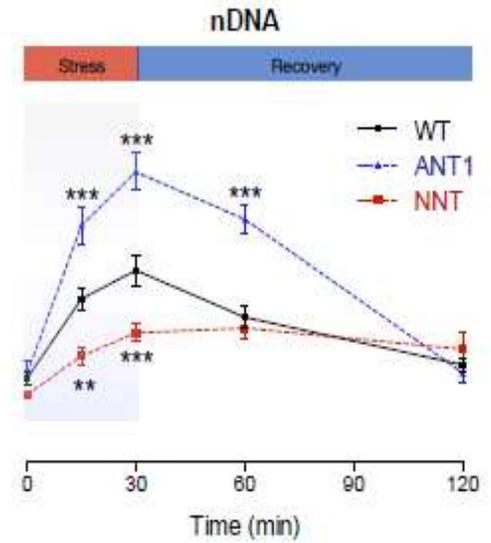
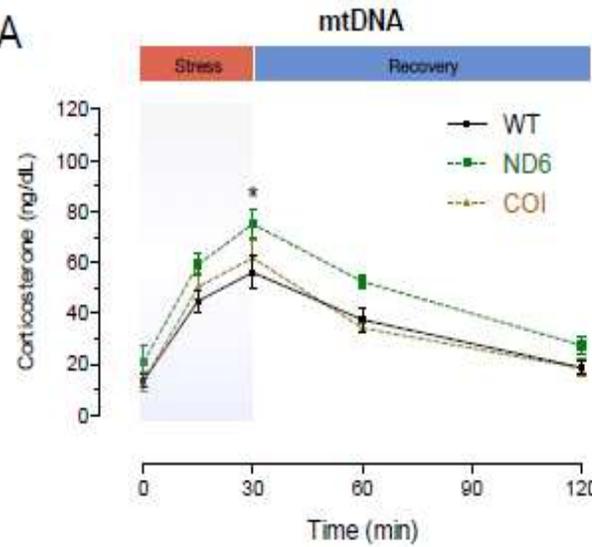
BIASED GERMLINE SEGREGATION OF mtDNA



# MITOCHONDRIAL ALTERATIONS MODULATE NEUROENDOCRINE RESPONSES TO ACUTE STRESS

## Modulation of Corticosterone: the Hypothalamic-Pituitary-Adrenal (HPA) axis

A



## Modulation of Catecholamines: of the Sympathetic-Medullary Axis

# ANT1 MITOCHONDRIAL DYFUNCTION IMPAIRS INTERNEURON MIGRATION

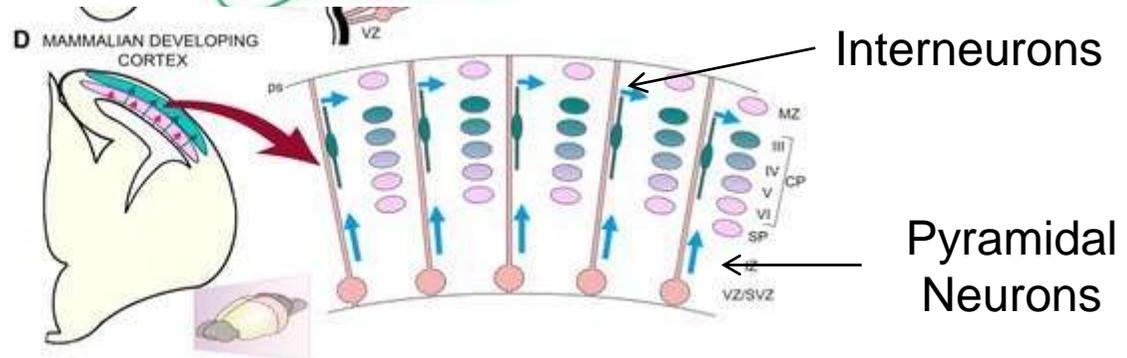
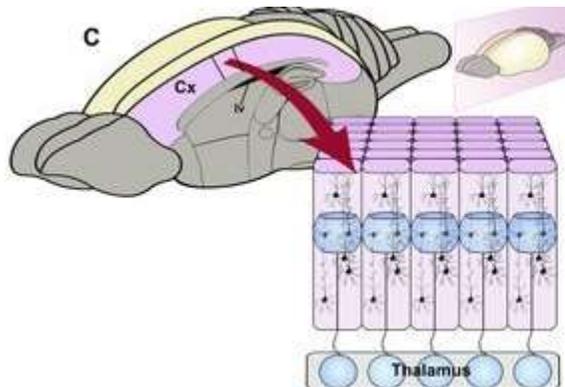
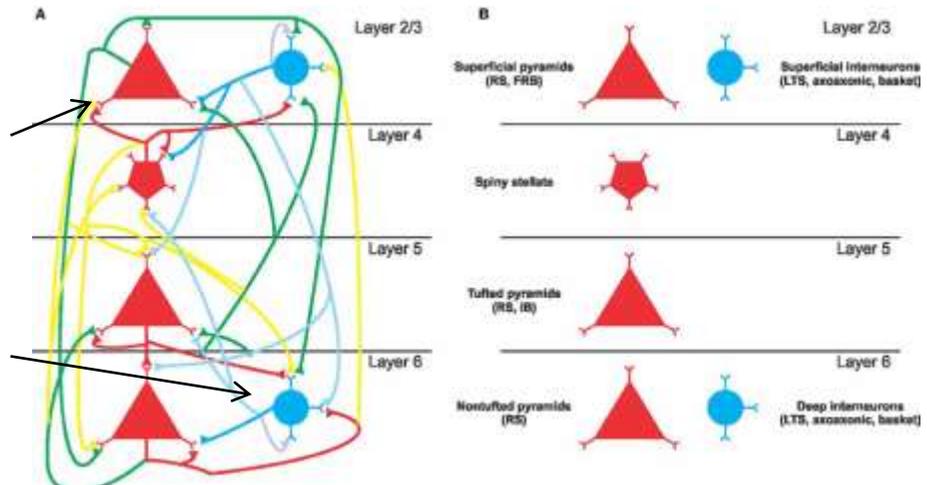
Partial Mitochondrial Defects Inhibit Tangential Inhibitory Interneuron Migration but not Radial Excitatory Neuron Migration

## Cortical Function Requires Excitatory Glutamatergic-Inhibitory GABAergic Neuronal Balance

Excitation-Inhibition Imbalance May Cause Epilepsy, ADHD, Autism, Manic-Depressive Disorder, etc.

Pyramidal Neurons

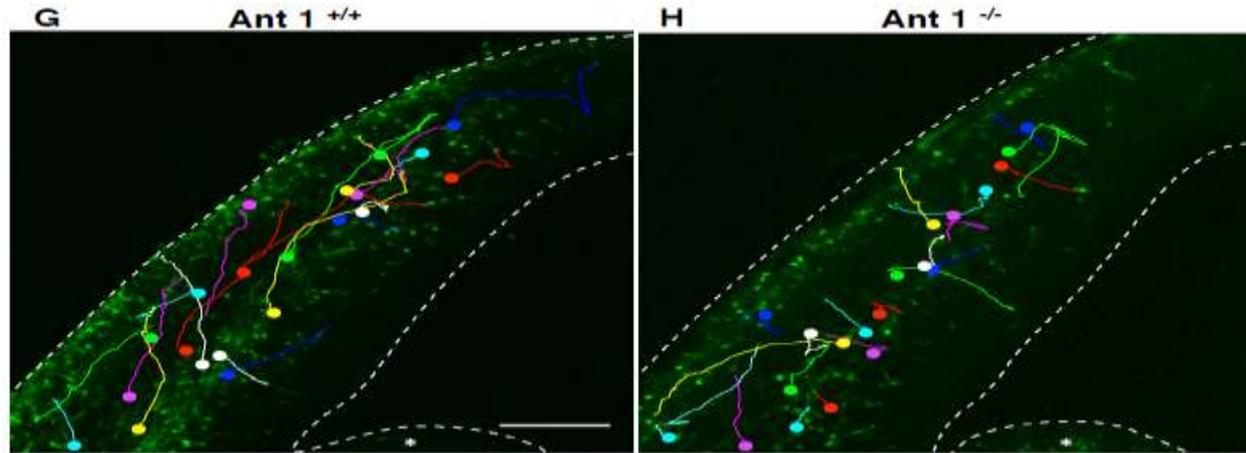
Interneurons



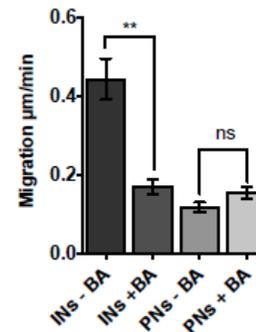
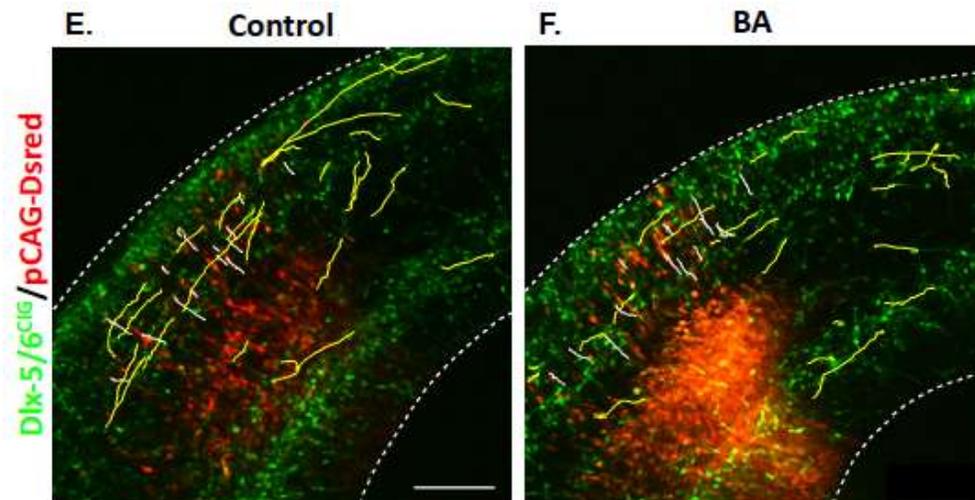
Excitatory Glutamatergic Neurons Migrate Radially while the Inhibitory GABAergic Interneurons Migrate Tangentially from the Medial Ganglionic Eminence (MGE).

# ANT1<sup>-/-</sup> IMPAIRS INTERNEURONAL MIGRATION = EXCITATION-INHIBITION IMBALANCE ANT1-ND6-NNT DEFICIENCY YIELDS AUTISM ENDOPHENOTYPES

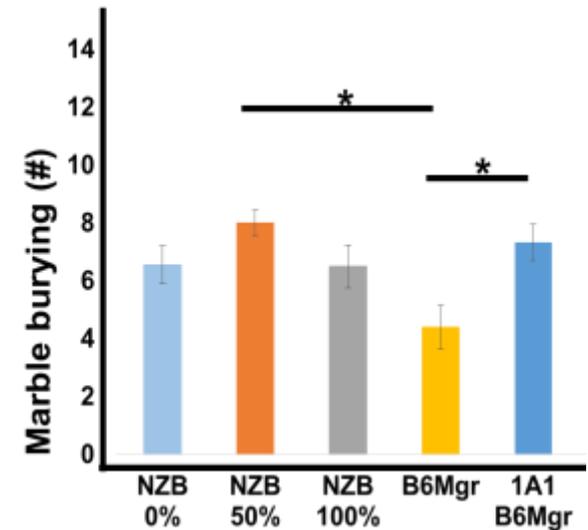
## ANT1<sup>-/-</sup> Inactivation Disorients Interneuron Migration



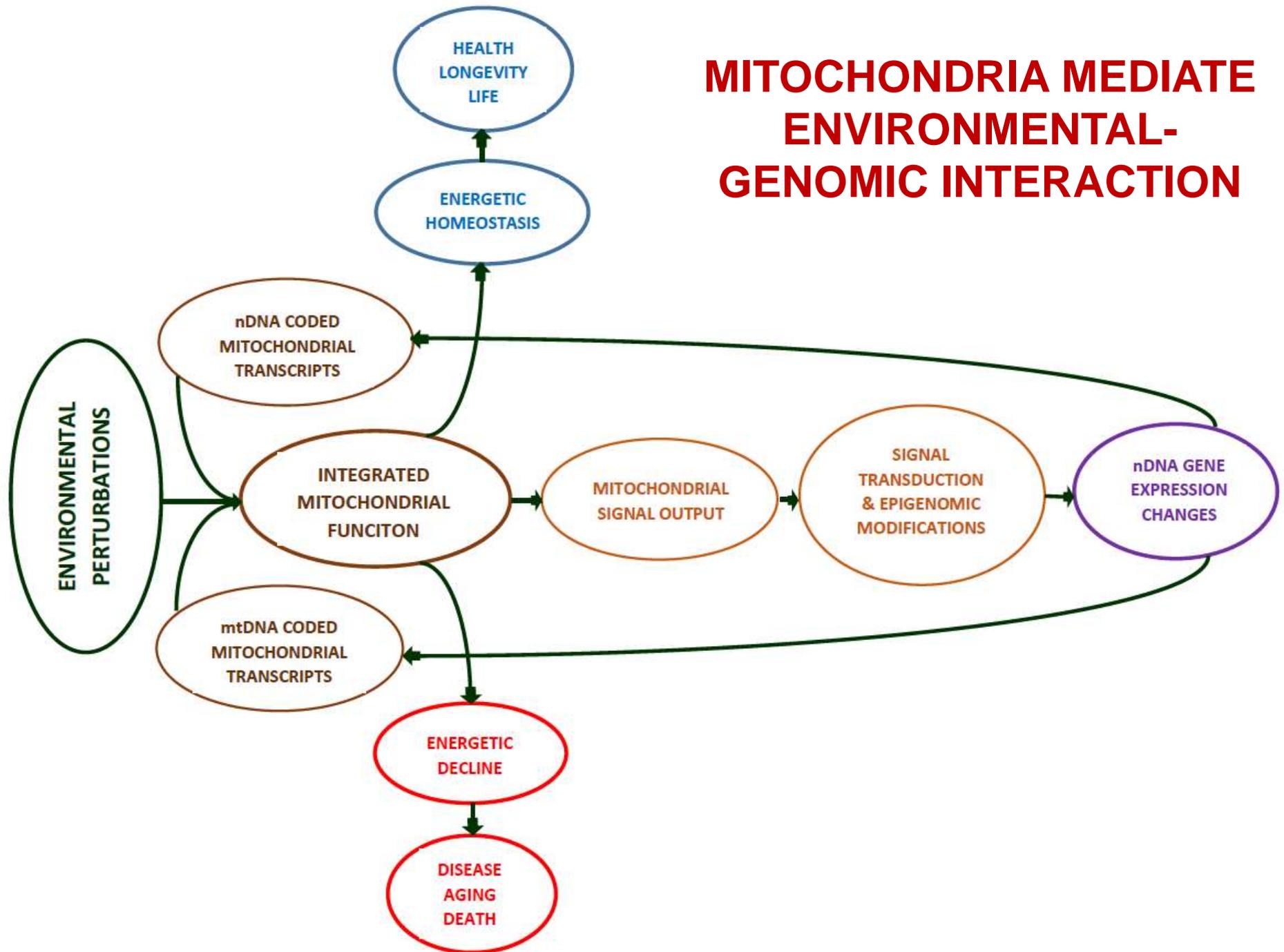
## ANT1 Inhibitor Bongkreikic Acid (BA) Disorients Interneuron Migration



## Social Interactions



# MITOCHONDRIA MEDIATE ENVIRONMENTAL-GENOMIC INTERACTION



# ENERGY IS LIFE

**CMEM, CHOP  
MAMMAG, UCI  
MEM, Emory**

Alessia Angelin  
Dimitra Chalkia  
Olga Derbeneva

**Weiiwei Fan**

Sage Hancock  
Taosheng Huang  
Kierstin Keller

Ryan Lin

Masha Lvova

Grant MacGregor

**Meagan McManus**

Debbie Murdock

Dan Mishmar

Xilma Ortiz-Gonzalez

**Marin Picard**

Julia Platt

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Eduardo Ruiz-Pesini

Mark Sharpley

Larry Singh

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Katrina Waymire

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**Paolo Sassone-Corsi**

**DNA BioTech, S Africa**

**Antonlle Olckers**

**Novosibirsk, Russia**

**Rem Sukernik**

**NIH, Autism Speaks, Simons Foundation Thank You**